

On the Galois groups of the Maximal p -extensions of Algebraic Number Fields

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§ 0. Introduction.

Let $K(p)$ be the maximal p -extension of an algebraic number field K , where p is a rational prime. We call an algebraic number field p -Henselian if it has a valuation which does not split in its maximal p -extension. It is known that the center of the absolute Galois group of a finite algebraic number field is trivial. In § 1 we shall prove that the center of the Galois group $\text{Gal}(K(p)/K)$ is trivial if K is a finite algebraic extension over the rational number field \mathbb{Q} containing a primitive p -th root of unity. In the proof the decomposition groups play an important role. In § 2 we assume that K is an algebraic extension over \mathbb{Q} containing a primitive p -th root of unity and that K is totally imaginary if $p=2$. We shall give a necessary and sufficient condition, in terms of $\text{Gal}(K(p)/K)$, for K to be p -Henselian with respect to a discrete valuation over p which has a finite residue class field. Using this we shall derive a number theoretical result, which is the purpose of § 2: when K is a finite algebraic extension of \mathbb{Q} , $\text{Gal}(K(p)/K)$ determines the degree of K over \mathbb{Q} , the number of prime ideals of K over the ideal (p) , $e(\mathfrak{p})f(\mathfrak{p})$, where $e(\mathfrak{p})$ denotes the ramification index and $f(\mathfrak{p})$ denotes the degree of inertia of a prime ideal \mathfrak{p} of K over (p) , and the maximal integer m for which K contains a primitive p^m -th root of unity.

§ 1. The center of $\text{Gal}(K(p)/K)$.

In this paper we denote by p a rational prime. Let k be a field. We say that an extension K of k is a *finite p -extension* if it is a Galois extension and its Galois group is a finite p -group. We denote by $k(p)$ the composite field of all the finite p -extensions of k in a fixed algebraic closure of k , and call it the *maximal p -extension of k* .

Let K be an algebraic extension of \mathbb{Q} and v be a valuation of K . K_v denotes the composite field of $K_{i_{v_i}}$ in a fixed algebraic closure of \mathbb{Q}_{v_0} , where K_i runs all the subfields of K which are of finite degree over \mathbb{Q} , v_0 (resp. v_i) is the restriction of v to \mathbb{Q} (resp. K_i), and \mathbb{Q}_{v_0} (resp. $K_{i_{v_i}}$) is the completion of \mathbb{Q} (resp. K_i) with respect to v_0 (resp. v_i). We note

that if K is a finite extension of \mathbf{Q} , K_v is the ordinary completion of K with respect to v .

LEMMA 1. *Let K be a finite p -extension of k and L be a finite p -extension of K . Then the normal closure of L over k is a finite p -extension of k .*

PROOF. Clear.

PROPOSITION 2. *Let K be an intermediate field of k and $k(p)$. Then $K(p) = k(p)$.*

PROOF. Since clearly $K(p) \supseteq k(p)$, we have only to show the converse. For any finite p -extension K' of K , there exists an element x in K' such that $K' = K(x)$. We can assume that $K = \bigcup_{i=1}^{\infty} K_i$, K_i/k is a finite p -extension and $K_i \subseteq K_{i+1}$. For sufficiently large m , the irreducible polynomial $\text{Irr}(x, K, X) \in K_m[X]$ and every K -conjugate of x is contained in $K_m(x)$. Since $K_m(x)/K_m$ is a finite p -extension, the assertion follows from Lemma 1.

PROPOSITION 3. *Let K be an algebraic extension of \mathbf{Q} containing a primitive p -th root ζ of unity. Assume that K has no proper p -extension of itself. Then for any non-archimedian valuation v of K , K_v has no proper p -extension of itself.*

PROOF. Assume that $\text{Gal}(K_v(p)/K_v) \neq \{1\}$. Then there exists a cyclic extension L of K_v of degree p . Since K_v contains ζ , $L = K_v(\sqrt[p]{a})$ for some a in K_v . As K is dense in K_v , $a = v\text{-}\lim a_i$, $a_i \in K$, and the polynomial $X^p - a$ can be arbitrarily approximated by $X^p - a_i$. Therefore for sufficiently large i , $L = K_v(\sqrt[p]{a_i})$. But by the assumption $K(\sqrt[p]{a_i}) = K$, hence $\sqrt[p]{a_i} \in K \subseteq K_v$. We have a contradiction.

PROPOSITION 4. *Let K be an algebraic extension of \mathbf{Q} containing a primitive p -th root ζ of unity. Assume that K has a proper p -extension of itself. Then K has at most one valuation up to equivalence which does not split in $K(p)$.*

PROOF. Assume that there exist two such valuations v_1 and v_2 . We show first that $(K^*)^p = (K_{v_i}^*)^p \cap K^*$, $i=1, 2$; where K^* denotes the multiplicative group of K . If there is an element a in $(K_{v_i}^*)^p \cap K^*$ and not in $(K^*)^p$, the polynomial $X^p - a$ is irreducible over K . Since K contains ζ , $K(\sqrt[p]{a})$ is contained in $K(p)$. As v_i does not split in $K(\sqrt[p]{a})$, $[K(\sqrt[p]{a})_{v_i} : K_{v_i}] = p$, where V_i is the valuation of $K(\sqrt[p]{a})$ over v_i , $i=1, 2$. This is a contradiction, for $a \in (K_{v_i}^*)^p \cap K^*$.

Next we show that $K^* = (K^*)^p$. For any element a of K^* , take an arbitrary neighbourhood U of a in $K_{v_1}^*$. For any element b of $(K^*)^p$ take a neighbourhood W of b in $K_{v_2}^*$ which is contained in $(K_{v_2}^*)^p$. By the approximation theorem, there exists an element c of K^* contained both in U and in W . Then $c \in W \cap K^* \subseteq (K_{v_2}^*)^p \cap K^* = (K^*)^p \subseteq (K_{v_1}^*)^p$. Therefore a is in the closure of $(K_{v_1}^*)^p$ which is a closed subgroup of $K_{v_1}^*$, hence $a \in (K_{v_1}^*)^p \cap K^* = (K^*)^p$. On the other hand K has a Galois extension L of K of degree p . Then $L = K(\sqrt[p]{a})$ with some a in K . Thus we have a contradiction.

DEFINITION. An algebraic number field K is called p -Henselian if K has a valuation which does not split in $K(p)$.

We denote $G_k(p) = \text{Gal}(k(p)/k)$. We remark here that $G_k(p)$ is the maximal quotient of $G_k = \text{Gal}(\bar{k}/k)$ which is a pro- p -group, where \bar{k} denotes an algebraic closure of k .

THEOREM 5. Let K be a finite algebraic extension of \mathbf{Q} containing a primitive p -th root of unity. Then the center of $G_K(p)$ is trivial.

From here to the end of the proof of this theorem we assume that K satisfies the assumption of Theorem 5.

LEMMA 6. Let V be a non-archimedian valuation of $K(p)$. Then $N(D(V)) = D(V)$, where $D(V)$ is the decomposition group of V in $G_K(p)$ and $N(D(V))$ is the normalizer of $D(V)$ in $G_K(p)$.

PROOF. It is sufficient to show that $D(V)$ contains $N(D(V))$. Now, $N(D(V)) = \{\sigma \in G_K(p) : \sigma D(V) \sigma^{-1} = D(V)\} = \{\sigma \in G_K(p) : D(V^\sigma) = D(V)\}$, where V^σ is the valuation of $K(p)$ such that $V^\sigma(x) = V(x^\sigma)$ for any element x in $K(p)$. Let L be the intermediate field of $K(p)/K$ such that $G_L(p) = D(V)$, and v be the restriction of V to K . Then by Proposition 3,

$$G_L(p) \cong \text{Gal}(K(p)_v/K_v) \cong \text{Gal}(K_v(p)/K_v).$$

It is clear that $L \neq L(p)$, for K is a finite extension of \mathbf{Q} . For any σ in $N(D(V))$, L is p -Henselian with respect to the restrictions of both V and V^σ to L . Hence $V = V^\sigma$ by Proposition 4.

LEMMA 7. Let V_1 and V_2 be non-equivalent non-archimedian valuations of $K(p)$. Then $D(V_1) \cap D(V_2) = \{1\}$.

PROOF. For $i=1, 2$, let L_i be the intermediate field of $K(p)/K$ such that $G_{L_i}(p) = D(V_i)$ respectively. L denotes the composite field of L_1 and L_2 . Then L is p -Henselian with respect to the restrictions of both V_1 and V_2 to L , hence $L = K(p)$ by Proposition 4.

PROOF OF THEOREM 5. Take any non-equivalent non-archimedean valuations V_1 and V_2 of $K(p)$. For any σ in the center of $G_K(p)$, $\sigma \in N(D(V_i))$, $i=1, 2$. Hence it follows from Lemma 6 and 7 that $\sigma=1$.
q. e. d.

§ 2. p -Henselian fields and the decomposition of (p) .

In relation to Proposition 4, we naturally have a question: under what condition is K p -Henselian? The next proposition gives a partial answer.

THEOREM 8. Let L be an algebraic extension of \mathbb{Q} containing a primitive p -th root of unity. If $p=2$, assume further that L is totally imaginary. Then the following conditions are equivalent.

- 1) L is p -Henselian with respect to a discrete valuation v over p which has a finite residue class field.
- 2) $\text{Gal}(L(p)/L) \cong \text{Gal}(K(p)/K)$, where K is a finite algebraic extension of \mathbb{Q}_p containing a primitive p -th root of unity.

First we state several results to which we refer in the proof. (Lemma 9~12)

LEMMA 9 (cf. [4]-§ 2). Let K be an algebraic extension of \mathbb{Q}_l containing a primitive p -th root of unity and $p^\infty \nmid [K : \mathbb{Q}_l]$ i. e. $[K : \mathbb{Q}_l]$ being divided by p only finite times. Then $G_K(p)$ is a Demuškin group of rank 2 (resp. $[K : \mathbb{Q}_l] + 2$) if $l \neq p$ (resp. $l = p$), and the cohomological dimension of $G_K(p)$ is 2. In case $[K : \mathbb{Q}_l] = \infty$, rank $[K : \mathbb{Q}_l] + 2$ means rank \aleph_0 .

LEMMA 10 (cf. [5]-§ 1). Let K be an algebraic extension of \mathbb{Q}_l . Then the p -cohomological dimension $\text{cd}_p(G_K)$ is 0, 1 or 2. More precisely,

$$\begin{aligned} \text{cd}_p(G_K) &= 0 \iff p \nmid [\bar{K} : K] \\ \text{cd}_p(G_K) &= 1 \iff p \mid [\bar{K} : K] \text{ and } p^\infty \mid [K : \mathbb{Q}_l] \\ \text{cd}_p(G_K) &= 2 \iff p^\infty \nmid [K : \mathbb{Q}_l] \iff B_K(p) \neq 0, \end{aligned}$$

where B_K is the Brauer group of K and $B_K(p)$ is its p -component.

LEMMA 11 (cf. [5]-§ 1). Let K be an algebraic extension of \mathbb{Q} . Assume that $p \neq 2$ or K is totally imaginary. Then $\text{cd}_p(G_K) = 0, 1$ or 2. More precisely,

$$\begin{aligned} \text{cd}_p(G_K) &= 0 \iff p \nmid [\bar{K} : K] \\ \text{cd}_p(G_K) &= 1 \iff p \mid [\bar{K} : K] \text{ and } p^\infty \mid n_v(K) \text{ for every non-archimedean valuation } v \text{ of } K, \text{ where } n_v(K) = [K_v : \mathbb{Q}_l] \\ \text{cd}_p(G_K) &= 2 \iff p^\infty \nmid n_v(K) \text{ for some non-archimedean valuation } v \text{ of } K \\ &\iff B_K(p) \neq 0. \end{aligned}$$

LEMMA 12 (cf. [6]-II § 2). *Let G be a pro-finite group and $G(p) = G/N$ be the maximal quotient of G such that G/N is a pro- p -group. Then $\text{cd}_p(G(p)) \leq \text{cd}_p(G)$ if $\text{cd}_p(N) \leq 1$.*

PROOF OF THEOREM 8. We show first that 1) implies 2). Since the canonical extension of v to L_v is discrete and has the same residue class field, $[L_v : \mathbf{Q}_p] < \infty$. Let V be the unique extension of v to $L(p)$. $L(p)_v = L_v(p)$ by Proposition 3, and $L(p) \cap L_v = L$, for v does not split in $L(p)$. Hence $\text{Gal}(L(p)/L) \cong \text{Gal}(L_v(p)/L_v)$.

Next we show the converse. $2 = \text{cd}_p(G_K(p)) = \text{cd}_p(G_L(p))$ by Lemma 10. Since $G_L(p) = G_L/G_{L(p)}$ and $\text{cd}_p(G_L(p)) \leq 1$, $\text{cd}_p(G_L) = 2$ by Lemma 11 and 12. Hence $B_L(p) \neq 0$. On the other hand when v runs all the places of L , the canonical homomorphism $B_L \rightarrow \prod B_{L_v}$ is injective (cf. [3]), therefore there exists v such that

$$B_{L_v}(p) \neq 0. \dots\dots\dots (1)$$

If v is archimedean, $L_v = \mathbf{C}$ so $B_{L_v}(p) = 0$; this contradicts (1). Hence v is non-archimedean. Take any extension V of v to $L(p)$. Then $L(p)_v = L_v(p)$ from Proposition 3 and $D = L(p) \cap L_v$ is the decomposition field of V over L . Then

$$G_D(p) = \text{Gal}(L(p)/L) \cong G_{L_v}(p).$$

Let v be over a rational prime q . Since $p^\infty \nmid [L_v : \mathbf{Q}_q]$ by (1) and Lemma 10, applying Lemma 9 we have

$$\text{cd}_p(G_{L_v}(p)) = 2 \dots\dots\dots (2)$$

and $G_{L_v}(p)$ is a Demuškin group. Now $G_{L_v}(p) \cong G_D(p) \subseteq G_L(p) \cong G_K(p)$. K' denotes the intermediate field of $K(p)/K$ such that $G_D(p) \cong G_{K'}(p) \subseteq G_K(p)$. Since $K' \cong \mathbf{Q}_p$, $G_{L_v}(p) \cong G_{K'}(p)$ is of rank $[K' : \mathbf{Q}_p] + 2$. Hence $p = q$.

Let N be the normal closure of D over L . We show that $N \neq L(p)$. It is sufficient to show that $\bigcap_{\sigma \in G_L(p)} \sigma^{-1} G_D(p) \sigma \neq \{1\}$, i. e. that $\bigcap_{\sigma \in G_K(p)} \sigma^{-1} G_{K'}(p) \sigma \neq \{1\}$, namely that the normal closure of K' over K is not $K(p)$. From (2) $\text{cd}_p(G_{K'}(p)) = \text{cd}_p(G_D(p)) = \text{cd}_p(G_{L_v}(p)) = 2$, $G_{K'}(p) = G_{K'}/G_{K'(p)}$, and $\text{cd}_p(G_{K'(p)}) \leq 1$, for $p^\infty \mid [K'(p) : \mathbf{Q}_p]$. Therefore $\text{cd}_p(G_{K'}) = 2$ from Lemma 10 and 12. Hence $[K' : \mathbf{Q}_p]$ is divided by p only finite times, so $[K' : K] < \infty$. Thus the normal closure of K' over K is of finite degree over K , so this is not $K(p)$.

Next we show that $L = D$. Assume that $L \neq D$, then v has an extension V' to $L(p)$ distinct from V . The decomposition field D' of V' over L is conjugate to D . As N is normal over L , N contains both D and D' . Then N is p -Henselian with respect to V and V' . From Proposition 4, $N = L(p)$, this is a contradiction.

Thus we have shown that L is p -Henselian with respect to v . We have $G_L(p) \cong G_{L_v}(p)$, for v does not split in $L(p)$. Hence $G_{L_v}(p) \cong G_K(p)$. The right hand side (resp. the left hand side) is a Demuškin group of

rank $[L_v : \mathbf{Q}_p] + 2$ (resp. $[K : \mathbf{Q}_p] + 2$) by Lemma 9. Hence $[L_v : \mathbf{Q}_p] = [K : \mathbf{Q}_p] < \infty$. Consequently v is discrete and has a finite residue class field. q. e. d.

In virtue of the proof of Theorem 8 we have the next result.

COROLLARY 13. *Let L be as in Theorem 8. Then L is p -Henselian with respect to a non-archimedean valuation v if there exists a field K which satisfies the following conditions; K is an algebraic extension of \mathbf{Q}_l containing a primitive p -th root of unity where l is a rational prime, $p \nmid [K : \mathbf{Q}_l]$, and $\text{Gal}(L(p)/L) \cong \text{Gal}(K(p)/K)$. In this case if $l = p$ (resp. $l \neq p$), then v is over p (resp. v is not over p).*

REMARK. From Theorem 8 we know that any finite totally imaginary algebraic number field L containing a primitive p -th root of unity can not be p -Henselian. Clearly it is not p -Henselian with respect to an archimedean valuation. It is known that the rank of $G_L(p) = \dim_{\mathbf{Z}/p\mathbf{Z}} H^1(G_L(p), \mathbf{Z}/p\mathbf{Z}) = \dim_{\mathbf{Z}/p\mathbf{Z}} L^*/(L^*)^p$ (cf. [1]-§ 9). Hence the rank of $G_L(p) = \infty$ if L is a finite extension of \mathbf{Q} . Therefore the condition 2) can not be satisfied, for the right hand side of it has a finite rank.

From Theorem 8 we can obtain some results on the prime decomposition law. The next theorem is a purpose of § 2.

THEOREM 14. *Let K be a finite totally imaginary algebraic number field containing a primitive p -th root of unity. Then $\text{Gal}(K(p)/K)$ determines the degree of K over \mathbf{Q} , the number of prime ideals of K over (p) , $e(\mathfrak{p})f(\mathfrak{p})$ where $e(\mathfrak{p})$ denotes the ramification index and $f(\mathfrak{p})$ denotes the degree of inertia of a prime ideal \mathfrak{p} of K over (p) , and the maximal integer $m = m(K)$ for which K contains a primitive p^m -th root of unity.*

PROOF. Let K_1 and K_2 satisfy the assumption of Theorem 14 and $G_{K_1}(p) \cong G_{K_2}(p)$. Let the prime ideal decomposition of (p) in K_1 and K_2 be as follows;

$$(p) = \mathfrak{p}_1^{e_1} \cdots \mathfrak{p}_t^{e_t} \text{ in } K_1, \quad \text{and} \quad (p) = \mathfrak{p}'_1^{e'_1} \cdots \mathfrak{p}'_s^{e'_s} \text{ in } K_2$$

$$N_{K_1/\mathbf{Q}}(\mathfrak{p}_i) = p^{f_i}, \quad N_{K_2/\mathbf{Q}}(\mathfrak{p}'_j) = p^{f'_j} \quad (1 \leq i \leq t, 1 \leq j \leq s).$$

We construct mappings α and β such that

$$A = \{\mathfrak{p}_1, \dots, \mathfrak{p}_t\} \xrightleftharpoons[\beta]{\alpha} \{\mathfrak{p}'_1, \dots, \mathfrak{p}'_s\} = B.$$

For any \mathfrak{p} in A , take a valuation v of $K_1(p)$ over \mathfrak{p} (1)

L denotes the decomposition field of v over K_1 . Then

$$G_{K_1}(p) \cong G_L(p) \cong \text{Gal}(K_1(p)/K_1(p)), \quad \text{and} \quad [K_1(p) : \mathbf{Q}_p] < \infty. \quad \dots\dots\dots (2)$$

M denotes the intermediate field of $K_2(p)/K_2$ such that $G_L(p) \cong G_M(p)$ through the given isomorphism. There exists a valuation w of M over p such that M is p -Henselian with respect to w , from Theorem 8. Denote by $\alpha(p)$ the prime ideal in K_2 corresponding to the restriction of w to K_2 . If we show that $\alpha(p)$ is uniquely determined by p independent of the choice of v in (1), the mapping α is well-defined. If v' is another valuation of $K_1(p)$ over p , the decomposition field of v and v' are $G_{K_1}(p)$ -conjugate. Hence taking M' corresponding to v' , M and M' are $G_{K_2}(p)$ -conjugate. Then w and w' and so prime ideals corresponding to these are $G_{K_2}(p)$ -conjugate. Restricting them to K_2 , we have the same prime ideal.

Similarly we can construct a mapping β , and it is evident that $\alpha \circ \beta = \text{id}_B$ and $\beta \circ \alpha = \text{id}_A$. Consequently $t=s$. We have $G_{K_{1p}}(p) \cong G_{K_{2\alpha(p)}}(p)$ by (2) and the manner of the choice of M . The Galois group of the maximal abelian extension of K_{1p} (resp. $K_{2\alpha(p)}$) over K_{1p} (resp. $K_{2\alpha(p)}$) is known by the local class field theory. Now their maximal p -factor groups are isomorphic, namely

$$\mathbb{Z}_p^{[K_{1p}:Q_p]+1} \times \mathbb{Z}/p^{m(K_1)}\mathbb{Z} \cong \mathbb{Z}_p^{[K_{2\alpha(p)}:Q_p]+1} \times \mathbb{Z}/p^{m(K_2)}\mathbb{Z},$$

where \mathbb{Z}_p denotes the p -adic integer ring. We remark here that $m(K_1) = m(K_{1p})$ and $m(K_2) = m(K_{2\alpha(p)})$. From above isomorphism we know that $m(K_1) = m(K_2)$ and $[K_{1p}:Q_p] = [K_{2\alpha(p)}:Q_p]$ i.e. $e(p)f(p) = e(\alpha(p))f(\alpha(p))$ for every p in A . From these results clearly we have $[K_1:Q] = [K_2:Q]$.

q. e. d.

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