

Graphical and Combinatorial Aspects of Some Orthogonal Polynomials

Haruo Hosoya

Department of Chemistry, Ochanomizu University,
Bunkyo-ku, Tokyo 112

(Received September 7, 1981)

1. Introduction

The present author has defined the topological index Z_G ,

$$(1) \quad Z_G = \sum_{k=0}^m p(G, k),$$

for characterizing a graph G as the sum of the non-adjacent number, $p(G, k)$, which is the number of ways for choosing k disjoint lines from G , or the number of k -matchings in G [1]. The set of numbers $p(G, k)$'s can easily be obtained by the aid of the Z -counting polynomial

$$(2) \quad Q_G(x) = \sum_{k=0}^m p(G, k)x^k,$$

for which several recursion relations have been found [1, 2]. With this polynomial Z_G can be expressed as

$$(3) \quad Z_G = Q_G(1).$$

These quantities, Z_G , $p(G, k)$, and $Q_G(x)$, have been shown to be closely related to a number of chemical and physical properties of certain series of molecules [1, 3-6]. They can also be applied to the coding and classification of molecules [7]. It was pointed out that the Z_G values of path graphs $\{P_n\}$ and cycle graphs $\{C_n\}$, respectively, from the Fibonacci and Lucas numbers [1, 2]. These series of numbers have been known to be associated with the Chebyshev polynomial, one of the most typical orthogonal polynomials.

Recently several authors have independently proposed the matching polynomial*

$$(4) \quad M_G(x) = \sum_{k=0}^m (-1)^k p(G, k)x^{n-2k}$$

by using the $p(G, k)$ numbers for a given graph both from chemical and graph-theoretical points of view [8-10]. It is obvious, however, that

* Aihara [8] calls $M_G(x)$ as the reference polynomial, while Gutman *et al.* [9, 11] prefer to use the term acyclic polynomial. The term "matching polynomial" is due to the suggestion by Harary [12].

$$(5) \quad M_G(x) = x^n Q_G(-x^{-2})$$

$$(6) \quad Q_G(x) = (-i\sqrt{x})^n M_G(i/\sqrt{x}).$$

The matching polynomial for a tree graph is identical to the corresponding characteristic polynomial

$$(7) \quad \begin{aligned} P_G(x) &= (-1)^n \det(A - xE) \\ &= M_G(x). \quad (G \in \text{tree}) \end{aligned}$$

Note also that

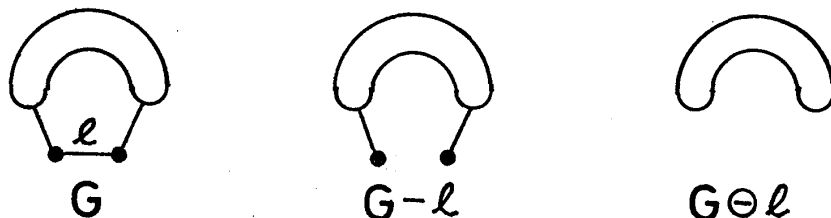
$$(9) \quad M_G(i) = i^n Z_G$$

for all graphs, *i.e.*, the sum of the absolute values of the coefficients of the matching polynomial is equal to the topological index.

Recently Gutman discovered that the matching polynomials of certain series of graphs are closely related to some of the orthogonal polynomials, such as Hermite, Laguerre, and associated Laguerre polynomials [13]. All these findings are the outcomes of the important features of the non-adjacent numbers, $p(G, k)$'s. In this report the graphical and combinatorial aspects of several orthogonal polynomials will be surveyed.

2. Recursion Relations

Two different kinds of subgraphs of a given graph G are defined as follows [2, 5]:



$G-l$ is obtained from G by deleting a given line l , and $G \ominus l$ is obtained by deleting l together with all the lines adjacent to l .

The inclusion-exclusion principle ensures the following relation,

$$(10) \quad p(G, k) = p(G-l, k) + p(G \ominus l, k-1).$$

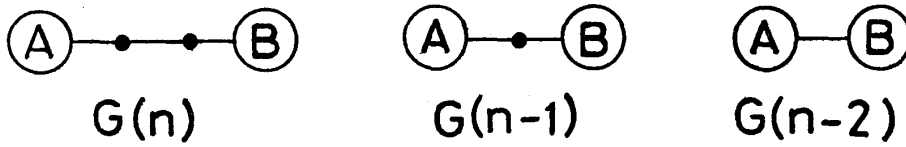
It is straightforward to get the recursion relations,

$$(11.1) \quad Q_G(x) = Q_{G-l}(x) + x \cdot Q_{G \ominus l}(x)$$

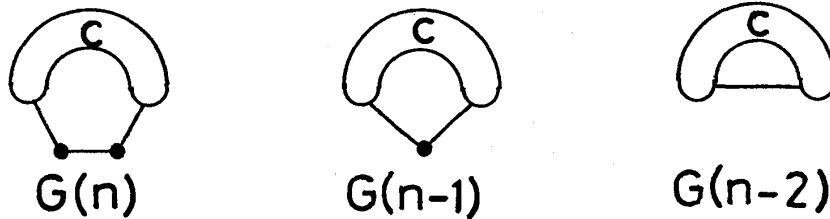
$$(11.2) \quad Z_G = Z_{G-l} + Z_{G \ominus l}$$

$$(11.3) \quad M_G(x) = M_{G-l}(x) - M_{G \ominus l}(x).$$

Next consider the following three graphs in which the numbers of the lines joining the subgraphs A and B are, respectively, three, two, and one, as



The subgraphs A and B may be joined each other to give C as



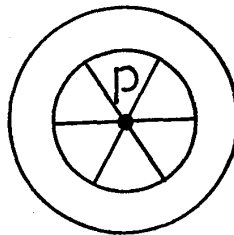
For these series of graphs we get the following relations,

$$(12.1) \quad Q_{G(n)}(x) = Q_{G(n-1)}(x) + x \cdot Q_{G(n-2)}(x)$$

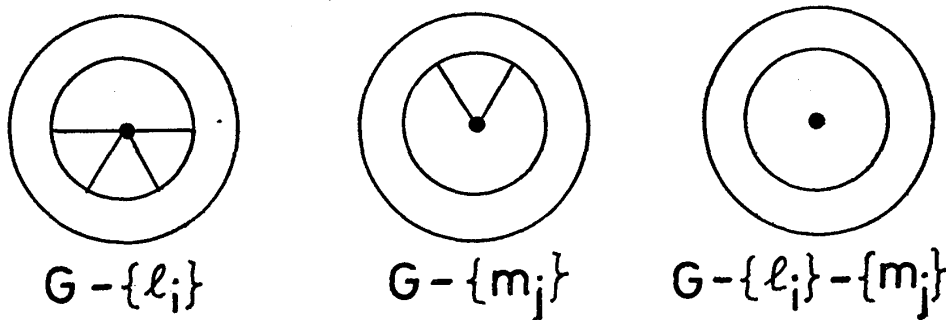
$$(12.2) \quad Z_{G(n)} = Z_{G(n-1)} + Z_{G(n-2)}$$

$$(12.3) \quad M_{G(n)}(x) = x \cdot M_{G(n-1)}(x) - M_{G(n-2)}(x).$$

Consider a graph with a wheel structure as



where more than two lines radiate from a point p toward the perimeter of the graph. Divide these lines into two groups of lines $\{l_i\}$ and $\{m_j\}$. Then consider the following three subgraphs



With these subgraphs another set of the recursion formulas can be obtained.

$$(13.1) \quad Q_G(x) = Q_{G-\{l_i\}}(x) + Q_{G-\{m_j\}}(x) - Q_{G-\{l_i\}-\{m_j\}}(x)$$

$$(13.2) \quad Z_G = Z_{G-\{l_i\}} + Z_{G-\{m_j\}} - Z_{G-\{l_i\}-\{m_j\}}$$

$$(13.3) \quad M_G(x) = M_{G-\{l_i\}}(x) + M_{G-\{m_j\}}(x) - M_{G-\{l_i\}-\{m_j\}}(x).$$

3. Chebyshev Polynomial

The Chebyshev polynomials of the first and second kinds are defined for non-negative n as

$$(14) \quad T_n(\cos \theta) = \cos n\theta \quad (1\text{st kind})$$

$$(15) \quad U_n(\cos \theta) = \sin(n+1)\theta / \sin \theta. \quad (2\text{nd kind})$$

It is convenient to define the modified polynomials $C_n(x)$ and $S_n(x)$ as [14]

$$(16) \quad C_n(x) = 2T_n(x/2) \quad \text{or} \quad T_n(x) = C_n(2x)/2$$

$$(17) \quad S_n(x) = U_n(x/2) \quad \text{or} \quad U_n(x) = S_n(2x).$$

By applying the addition theorems of the trigonometric functions to Eqs. (14) and (15), one gets the following recursion formulas

$$(18.1) \quad T_n(x) = 2xT_{n-1}(x) - T_{n-2}(x) \quad (n \geq 2)$$

$$(18.2) \quad U_n(x) = 2xU_{n-1}(x) - U_{n-2}(x) \quad (n \geq 2)$$

which give

$$(19.1) \quad C_n(x) = xC_{n-1}(x) - C_{n-2}(x) \quad (n \geq 2)$$

$$(19.2) \quad S_n(x) = xS_{n-1}(x) - S_{n-2}(x). \quad (n \geq 2)$$

Now all these polynomials with any n value can be calculated from Eqs. (18) and (19) with the following initial values:

$$(20.1) \quad T_0(x) = 1 \quad T_1(x) = x$$

$$(20.2) \quad U_0(x) = 1 \quad U_1(x) = 2x$$

$$(21.1) \quad C_0(x) = 2 \quad C_1(x) = x$$

$$(21.2) \quad S_0(x) = 1 \quad S_1(x) = x.$$

In Tables 1 and 2 are given these Chebyshev polynomials for smaller n values.

By using the de Moivre's theorem, Eqs. (14) and (15) can be converted into the closed forms

$$(22.1) \quad T_n(x) = \{(x + \sqrt{x^2 - 1})^n + (x - \sqrt{x^2 - 1})^n\} / 2$$

$$(22.2) \quad U_n(x) = \{(x + \sqrt{x^2 - 1})^{n+1} - (x - \sqrt{x^2 - 1})^{n+1}\} / 2\sqrt{x^2 - 1}.$$

Let the two roots of the following quadratic equation

$$x^2 - x + 1 = 0$$

be $\alpha = (1 + \sqrt{5})/2$ and $\beta = (1 - \sqrt{5})/2$. Then by substituting $x = i/2$ into Eqs. (22), one gets

$$(23) \quad 2T_n(i/2) = C_n(i) = i^n(\alpha^n + \beta^n) / 2 = i^n L_n$$

Table 1.













n	P_n	$M_{P_n}(x) = U_n(x/2) = S_n(x)$	$U_n(x)$	Z_G
0	ϕ	1	1	1
1	\bullet	x	$2x$	1
2		$x^2 - 1$	$4x^2 - 1$	2
3		$x^3 - 2x$	$8x^3 - 4x$	3
4		$x^4 - 3x^2 + 1$	$16x^4 - 12x^2 + 1$	5
5		$x^5 - 4x^3 + 3x$	$32x^5 - 32x^3 + 6x$	8
6		$x^6 - 5x^4 + 6x^2 - 1$	$64x^6 - 80x^4 + 24x^2 - 1$	13
7		$x^7 - 6x^5 + 10x^3 - 4x$	$128x^7 - 192x^5 + 80x^3 - 8x$	21

Table 2.

n	C_n	$M_{C_n}(x) = 2T_n(x/2) = C_n(x)$	$T_n(x)$	Z_G
0	ϕ	2	1	2
1	\bullet	x	x	1
2		$x^2 - 2$	$2x^2 - 1$	3
3		$x^3 - 3x$	$4x^3 - 3x$	4
4		$x^4 - 4x^2 + 2$	$8x^4 - 8x^2 + 1$	7
5		$x^5 - 5x^3 + 5x$	$16x^5 - 20x^3 + 5x$	11
6		$x^6 - 6x^4 + 9x^2 - 2$	$32x^6 - 48x^4 + 18x^2 - 1$	18
7		$x^7 - 7x^5 + 14x^3 - 7x$	$64x^7 - 112x^5 + 56x^3 - 7x$	29

$$(24) \quad U_n(i/2) = S_n(i) = i^n(\alpha^{n+1} - \beta^{n+1})/\sqrt{5} = i^n F_n,$$

where F_n and L_n are, respectively, the well-known Fibonacci and Lucas numbers, with the following properties:

$$(25) \quad F_n = F_{n-1} + F_{n-2}, \quad F_0 = F_1 = 1^*$$

$$(26) \quad L_n = L_{n-1} + L_{n-2}, \quad L_1 = 1, \quad L_2 = 3.$$

It has been shown by the present author that the topological indices Z_G 's

* Although another definition ($F_1 = F_2 = 1$) is currently used, it will be clear that the present definition gives better graphical representation of the Fibonacci numbers.

of path graphs $\{P_n\}$ and cycle graphs $\{C_n\}$, respectively, from the Fibonacci and Lucas numbers [1, 2].

Note that the recursion formulas of Z_G 's of these series of graphs take the form of Eq. (12.2), which implies that the corresponding matching polynomials recur as Eq. (12.3), which is exactly the same as that of Eq. (19) including the set of the initial values in Eq. (21). Thus we have the relations

$$(27) \quad M_{P_n}(x) = U_n(x/2) = S_n(x)$$

$$(28) \quad M_{C_n}(x) = 2T_n(x/2) = C_n(x).$$

This means that the matching polynomial of a path graph P_n is identical to the second kind of the Chebyshev polynomial with the degree n , while that of a cycle graph C_n is to the first kind (See Tables 1 and 2). Once we know these relations, we can derive a number of recursion formulas of these orthogonal polynomials by using the graph-theoretical aspects of the matching polynomial. For example, suppose that the two path graphs, P_m and P_n , are joined by a line l to give a longer path graph P_{m+n} . Then the application of the relation (11.3) to P_{m+n} gives

$$(29) \quad M_{P_{m+n}}(x) = M_{P_m}(x) \cdot M_{P_n}(x) - M_{P_{m-1}}(x) \cdot M_{P_{n-1}}(x).$$

For the case where m and n are equal we have

$$(30) \quad M_{P_{2n}}(x) = \{M_{P_n}(x)\}^2 - \{M_{P_{n-1}}(x)\}^2.$$

The following recursion relations for the U polynomial are automatically obtained:

$$(31) \quad U_{m+n}(x) = U_m(x) \cdot U_n(x) - U_{m-1}(x) \cdot U_{n-1}(x)$$

$$(32) \quad U_{2n}(x) = \{U_n(x)\}^2 - \{U_{n-1}(x)\}^2.$$

By using Eq. (9), Eq. (29) can be transformed into

$$(33) \quad Z_{P_{m+n}} = Z_{P_m} \cdot Z_{P_n} + Z_{P_{m-1}} \cdot Z_{P_{n-1}}$$

$$(34) \quad F_{m+n} = F_m \cdot F_n + F_{m-1} \cdot F_{n-1}.$$

Similarly the relation (11.3) is applied to a cycle graph C_n to give

$$(35) \quad 2T_n(x) = U_n(x) - U_{n-2}(x) \quad (n \geq 2)^*$$

$$(36) \quad C_n(x) = S_n(x) - S_{n-2}(x), \quad (n \geq 2)^*$$

both of which can be transformed into

$$(37) \quad L_n = F_n + F_{n-2}. \quad (n \geq 2)$$

Next add up the both sides of Eq. (36) separately for the even and odd n

* If we extend Eqs. (35) and (36) down to $n=0$, we need to have

$$2T_1(x) = U_1(x) \quad 2T_0(x) = 2U_0(x)$$

and

$$C_1(x) = S_1(x) \quad C_0(x) = 2S_0(x).$$

terms, and we are left with the following equations:

$$(38.1) \quad C_{2n}(x) + C_{2n-2}(x) + \cdots + C_0(x) = S_{2n}(x) + S_0(x)$$

$$(38.2) \quad C_{2n+1}(x) + C_{2n-1}(x) + \cdots + C_1(x) = S_{2n+1}(x),$$

which give the recursion relations of the Chebyshev polynomials [15],

$$(39.1) \quad 2\{T_{2n}(x) + T_{2n-2}(x) + \cdots + T_0(x)\} = U_{2n}(x) + U_0(x)$$

$$(39.2) \quad 2\{T_{2n+1}(x) + T_{2n-1}(x) + \cdots + T_1(x)\} = U_{2n+1}(x).$$

Similar treatment on the relations (19) gives

$$(40.1) \quad x\{C_{2n}(x) - C_{2n-2}(x) + C_{2n-4}(x) - \cdots + (-1)^n C_0(x)\} \\ = C_{2n+1}(x) + (-1)^n C_1(x)$$

$$(40.2) \quad x\{C_{2n+1}(x) - C_{2n-1}(x) + C_{2n-3}(x) - \cdots + (-1)^n C_1(x)\} \\ = C_{2n+2}(x) + (-1)^n C_0(x)$$

$$(40.3) \quad x\{S_{2n}(x) - S_{2n-2}(x) + S_{2n-4}(x) - \cdots + (-1)^n S_0(x)\} = S_{2n+1}(x)$$

$$(40.4) \quad x\{S_{2n+1}(x) - S_{2n-1}(x) + S_{2n-3}(x) - \cdots + (-1)^n S_1(x)\} \\ = S_{2n+2}(x) + (-1)^n S_0(x),$$

which give rather new types of the recursion relations of the Chebyshev polynomials:

$$(41.1) \quad 2x\{T_{2n}(x) - T_{2n-2}(x) + T_{2n-4}(x) - \cdots + (-1)^n T_0(x)\} \\ = T_{2n+1}(x) + (-1)^n T_1(x)$$

$$(41.2) \quad 2x\{T_{2n+1}(x) - T_{2n-1}(x) + T_{2n-3}(x) - \cdots + (-1)^n T_1(x)\} \\ = T_{2n+2}(x) + (-1)^n T_0(x)$$

$$(41.3) \quad 2x\{U_{2n}(x) - U_{2n-2}(x) + U_{2n-4}(x) - \cdots + (-1)^n U_0(x)\} = U_{2n+1}(x)$$

$$(41.4) \quad 2x\{U_{2n+1}(x) - U_{2n-1}(x) + U_{2n-3}(x) - \cdots + (-1)^n U_1(x)\} \\ = U_{2n+2}(x) + (-1)^n U_0(x).$$

4. Graphical Representation

Since all the Chebyshev polynomials, T , U , S , and C , are shown to be associated with either of the path or cycle graph through the matching polynomial, the recursion relations (29)-(39) can respectively be given their graphical representations as in Figs. 1-3, where the relations among the Fibonacci and Lucas numbers are also shown.

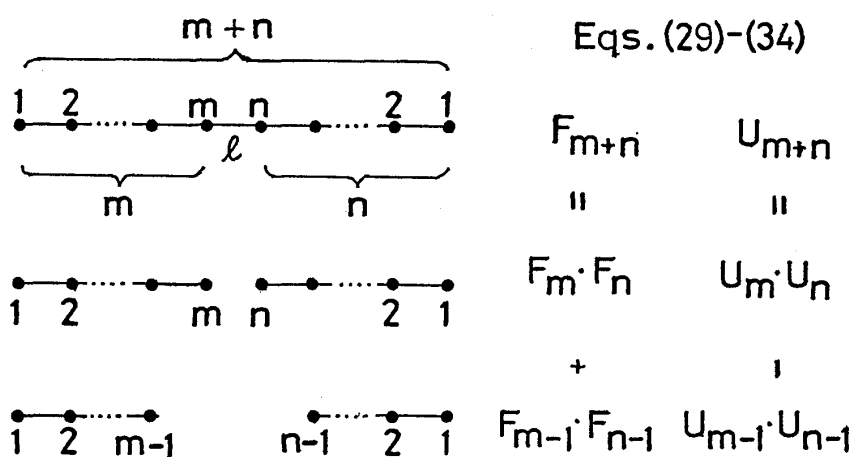


Fig. 1.

Eqs. (35)-(37)

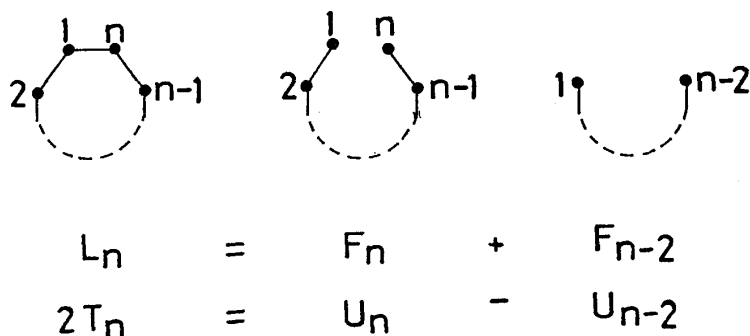
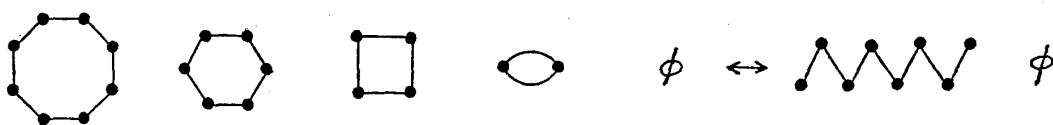
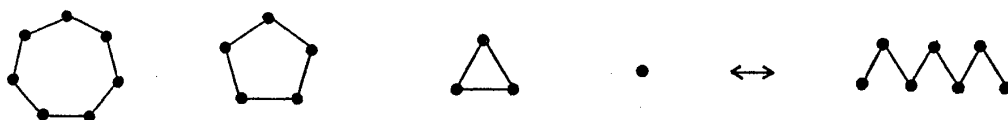


Fig. 2.



$$L_{2n} - L_{2n-2} + L_{2n-4} - \dots + (-1)^n L_0 = F_{2n} + (-1)^n F_0$$

$$T_{2n} + T_{2n-2} + T_{2n-4} + \dots + T_0 = (U_{2n} + U_0)/2$$



$$L_{2n+1} - L_{2n-1} + \dots + (-1)^n L_1 = F_{2n+1}$$

$$T_{2n+1} + T_{2n-1} + \dots + T_1 = U_{2n+1}/2$$

Fig. 3.

5. Orthogonal Polynomials

The Hermite polynomial is defined either as






$$(42) \quad H_n(x) = (-1)^n \exp(x^2) \frac{d^n}{dx^n} \exp(-x^2).$$

or as

$$(43) \quad h_n(x) = 2^{-n/2} H_n(x/\sqrt{2}) \quad [14].$$

In Table 3 are given the $H_n(x)$ and $h_n(x)$ for smaller n values. The recursion relations have been known as

Table 3.

n	K_n	$M_{K_n}(x) = h_n(x)$	$H_n(x)$
0	ϕ	1	1
1	\bullet	x	$2x$
2		$x^2 - 1$	$4x^2 - 2$
3		$x^3 - 3x$	$8x^3 - 12x$
4		$x^4 - 6x^2 + 3$	$16x^4 - 48x^2 + 12$
5		$x^5 - 10x^3 + 15x$	$32x^5 - 160x^3 + 120x$
6		$x^6 - 15x^4 + 45x^2 - 15$	$64x^6 - 480x^4 + 720x^2 - 120$

$$M_{K_n}(x) = \sum_{k=0}^{\lfloor n/2 \rfloor} (-1)^k \frac{n!}{(n-2k)! k! 2^k} x^{n-2k}$$

$$= \sum_{k=0}^{\lfloor n/2 \rfloor} (-1)^k \binom{n}{2k} (2k-1)!! x^{n-2k}$$

$$H_n(x) = (-1)^n \exp(x^2) \frac{d^n}{dx^n} \exp(-x^2)$$

$$h_n(x) = 2^{-n/2} H_n(x/\sqrt{2})$$

$$(44) \quad H_n(x) = 2xH_{n-1}(x) - 2(n-1)H_{n-2}(x)$$

$$(45) \quad h_n(x) = xh_{n-1}(x) - (n-1)h_{n-2}(x).$$

Suppose a complete graph K_n and its matching polynomial, which has already been shown by the present author to recur as

$$(46) \quad M_{K_n}(x) = xM_{K_{n-1}}(x) - (n-1)M_{K_{n-2}}(x) \quad [11].$$

Note that Eqs. (45) and (46) have just the same form. The latter can be derived by a successive application of the recursion relation (13). It has also been shown that the closed form of $M_{K_n}(x)$ is given by

$$(47) \quad M_{K_n}(x) = \sum_{k=0}^{\lfloor n/2 \rfloor} (-1)^k \frac{n!}{(n-2k)! k! 2^k} x^{n-2k} \quad [11],$$

which is identical to $h_n(x)$ [13], namely,

$$(48) \quad M_{K_n}(x) = h_n(x) = 2^{-n/2} H_n(x/\sqrt{2}).$$

Gutman has also shown that the matching polynomials of the complete bipartite graph $K_{n,n}$ and $K_{m,n}$ are, respectively, equivalent to the Laguerre and associated Laguerre polynomials as

$$(49) \quad M_{K_{n,n}}(x) = (-1)^n L_n(x^2)$$

$$(50) \quad M_{K_{m,n}}(x) = \frac{(-1)^n n! x^{m-n}}{m!} L_m^{m-n}(x^2). \quad (m \geq n)$$

Table 4.

n	$K_{n,n}$	$M_{K_{n,n}}(x) = (-1)^n L_n(x^2)$
0		1
1		$x^2 - 1$
2		$x^4 - 4x^2 + 2$
3		$x^6 - 9x^4 + 18x^2 - 6$
4		$x^8 - 16x^6 + 72x^4 - 96x^2 + 24$

$$M_{K_{n,n}}(x) = \sum_{k=0}^n (-1)^k \frac{n!}{\{(n-k)!\}^2 k!} x^{2n-2k}$$

Table 5.

m	n	$K_{m,n}$	$M_{K_{m,n}}(x)$	L_m^{m-n}
2	1		$x^3 - 2x$	$2x - 4$
3	1		$x^4 - 3x^2$	$-6x + 18$
3	2		$x^5 - 6x^3 + 6x$	$-3x^2 + 18x - 18$
4	2		$x^6 - 8x^4 + 12x^2$	$12x^2 - 96x + 144$

$$M_{K_{m,n}}(x) = \sum_{k=0}^{\min(m,n)} (-1)^k \frac{m! n!}{(m-k)! (n-k)! k!} x^{m+n-2k}$$

In Tables 4 and 5 are given smaller $K_{n,n}$ and $K_{m,n}$ graphs and the corresponding polynomials.

By a successive application of the recursion relation (13) to Eqs. (49) and (50) Gutman has derived the following recursion relations of the Laguerre and associated Laguerre polynomials:

$$(51) \quad L_n(x) = nL_{n-1}(x) + \frac{x}{n}L_n^1(x)$$

$$L_{n+1}(x) = (2n+1-x)L_n(x) - n^2L_{n-1}(x) \quad [13].$$

6. Discussion

The matching polynomials for typical series of graphs are thus shown to be closely related to some of the orthogonal polynomials as summarized in Table 6. This fact suggests that the non-adjacent number $p(G, k)$ is not only an important graph-theoretical quantity but also may have some key role in the mathematical structure of the quantum mechanical eigenvalue problems. The Legendre, Laguerre, and Hermite polynomials are known to be the typical solutions of the Schrödinger equations for the problems where a wave-like particle is trapped in a potential well of various forms. The differential equations to be satisfied by the Chebyshev and Legendre polynomials are very similar, *i. e.*,

Table 6.

Orthogonal Polynomial	Graph
Chebyshev (1st kind) T_n, C_n	Cycle graph C_n
Chebyshev (2nd kind) U_n, S_n	Path graph P_n
Hermite H_n, h_n	Complete graph K_n
Laguerre L_n	Complete bipartite graph $K_{n,n}$
Associated Laguerre L_n^{m-n}	Complete bipartite graph $K_{m,n}$
Legendre P_n	-----

$$(47.1) \quad (1-x^2)T_n''(x) - xT_n'(x) + n^2T_n(x) = 0$$

$$(47.2) \quad (1-x^2)U_n''(x) - xU_n'(x) + n^2U_n(x) = 0$$

$$(47.3) \quad (1-x^2)P_n''(x) - 2xP_n'(x) + n(n+1)P_n(x) = 0$$

However, no eigenvalue problem has been known whose solution takes the Chebyshev polynomial, whose matching polynomial is identical to the Legendre polynomial. These questions are open.

Acknowledgement

The author deeply thanks Professor Ivan Gutman of the Kragujevac University, Yugoslavia, for showing his unpublished materials on this topics. Thanks are also given to Professor Frank Harary of the University of Michigan for his discussion, suggestion, and encouragement.

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