

## Unirationality of Surfaces Obtained from Products of Curves

Mari Ohhira

Department of Mathematics, Faculty of science,  
Ochanomizu University, Tokyo

(Received April 8, 1993)

Let  $k$  be an algebraically closed field of positive characteristic  $p$ . A non-singular complete surface defined over  $k$  is called supersingular if its second Betti number equals its Picard number. An Abelian variety over  $k$  is called supersingular if it is isogenous to a products of supersingular elliptic curves. A non-singular complete curve over  $k$  is called supersingular if its Jacobian variety is supersingular. A non-singular complete variety is called unirational if there exists dominant rational map from a projective space to it.

Now, consider the hyperelliptic curves over  $k$

$$C_N: Y^2 = X^N - 1,$$

where  $N$  is a positive integer such that

$$N \not\equiv 0 \pmod{p} \quad \text{and} \quad N \geq 3,$$

and

$$C'_n: y^2 = x^n - x,$$

where  $n$  is a positive odd integer such that

$$n-1 \not\equiv 0 \pmod{p} \quad \text{and} \quad n \geq 3.$$

We always denote the involution map of any hyperelliptic curves by  $\iota$ :

$$\iota: (x, y) \longrightarrow (x, -y).$$

Consider also surfaces which are products of above curves and quotient surfaces by the involution:

$$T_N := C_N \times C_N, \quad S_N = \widetilde{T_N / \iota \times \iota},$$
$$T'_n := C'_n \times C'_n \quad \text{and} \quad S'_n = \widetilde{T'_n / \iota \times \iota}.$$

There are some facts on the unirationality or supersingularity of  $C_N$ ,  $C'_n$ ,  $S_N$ , or of  $S'_n$  already clarified:

PROPOSITION 0.1. ([1, 5]) (1) *If there exists a natural number such*

that  $p^v \equiv -1 \pmod{N}$ , then  $S_N$  is unirational.

- (2)  $C_5$  is supersingular if and only if  $p \not\equiv 1 \pmod{5}$ .
- (3)  $C_6$  is supersingular if and only if  $p \equiv 5 \pmod{6}$ .
- (4)  $C'_5$  is supersingular if and only if  $p \equiv 5, 7 \pmod{8}$ .

It seems that  $C_N$  or  $S_N$  are easier to handle than  $C'_n$  or  $S'_n$  because the zeta function of  $C_N$  has a simple formula introduced by Weil ([9, 10]). But since  $C_{2n-2}$  is a double covering of  $C'_n$ , we can calculate the zeta function of  $C'_n$ , too. This calculation is the first part of this note, and we deduce some facts on unirationality and supersingularity of  $S'_n$  in the following parts. The results are summarized in Theorem 2.7 in §2.

### §1. Calculation of zeta functions

Let  $k = \mathbf{F}_q$  be a finite field with  $q$  elements in characteristic  $p$ . We denote the congruence zeta function of a proper smooth variety  $V$  over  $k$  by  $Z(T, V/k)$ , or simply by  $Z(T, V)$  or by  $Z(T)$  if there are no ambiguities.

In this section we recall the calculation of  $Z(T, C_N/k)$  by Weil ([10]) and calculate  $Z(T, C'_n/k)$ . At first, fix the notations as follows:

$n \geq 3$ : a positive odd integer,

$N := 2n - 2$ ,

$q$ : the smallest integer such that  $q = p^f \equiv 1 \pmod{N}$ ,

$\omega$ : a generator of the multiplicative group  $\mathbf{F}_q^*$ ,

$\tilde{C}_N/k$ :  $\tilde{Y}^2 = \omega^{n-1} \tilde{X}^N - \omega^{-1}$ : a hyperelliptic curve over  $k$ ,

$N_\mu := \text{Card. } C_N(\mathbf{F}_{q^\mu})$ ,

$N'_\mu := \text{Card. } C'_n(\mathbf{F}_{q^\mu})$ ,

$\tilde{N}_\mu := \text{Card. } \tilde{C}_N(\mathbf{F}_{q^\mu})$ ,

The formula of zeta function by Weil is described by Jacobi sums.

DEFINITION 1.1. Let  $a \neq n - 1$  be a positive integer such that  $1 \leq a \leq 2n - 2$ , and let  $N'$  be the greatest common divisor of  $a$  and  $N$ . Let  $a'$  (resp.  $N''$ ) be the divisor of  $a$  (resp.  $N$ ) such that  $a = a'N'$  (resp.  $N = N'N''$ ). When  $N''$  is even, we set  $a_0 = a'$ ,  $b_0 = N''/2$ , and let  $\chi$  be a character of  $k^*$  such that

$$\chi: k^* \longrightarrow \mathbf{C}^*; \omega \longmapsto \exp(2\pi i/N'').$$

When  $N''$  is odd, we set  $a_0 = 2a'$ ,  $b_0 = N''$ , and let  $\chi$  be a character of  $k^*$  such that

$$\chi: k^* \longrightarrow \mathbf{C}^*; \omega \longmapsto \exp(2\pi i/2N'').$$

We define the Jacobi sum  $j_a$  as follows:

$$j_a = \sum_{x, y \in k^*, x+y+1=0} \chi(x)^{a_0} \chi(y)^{b_0}.$$

LEMMA 1.2. ([10]) *The zeta functions of  $C_N$  and  $\tilde{C}_N$  are given by the following formulae:*

$$Z(T, C_N/k) = (1-T)^{-1}(1-qT)^{-1} \prod_{1 < a < N, a \neq N-1} (1 + \chi((-1)^{a_0}) j_a T),$$

$$Z(T, \tilde{C}_N/k) = (1-T)^{-1}(1-qT)^{-1} \prod_{1 < a < N, a \neq N-1} (1 + \chi((- \omega^{-n+1})^{a_0} \omega^{-b_0}) j_a T).$$

Since  $C_N$  is a double covering of  $C'_n$ , we can calculate  $Z(T, C'_n/k)$  from the above formulae.

LEMMA 1.3. *The numbers of rational points of  $C'_n, C_N$  and  $\tilde{C}_N$  over  $k$  are related as the following formula:*

$$(1.1) \quad N'_1 = (N_1 + \tilde{N}_1)/2.$$

PROOF. Any rational point  $(x_0, y_0)$  over  $k = \mathbf{F}_q$  of  $C'_n$  corresponds to a pair of rational points  $(\pm \sqrt{x_0}, \pm y_0/\sqrt{x_0})$  over  $\mathbf{F}_{q^2}$  of  $C_N$ . Conversely, if a rational point  $(X_0, Y_0) \in C_N(\mathbf{F}_{q^2})$  corresponds to a rational point  $(X_0^2, X_0 Y_0) \in C'_n(\mathbf{F}_q)$ , one of the following two conditions must hold:

$$(1.2) \quad X_0, Y_0 \in k,$$

or

$$(1.3) \quad \sqrt{\omega} X_0, \sqrt{\omega} Y_0 \in k.$$

The condition (1.2) is equivalent to  $(X_0, Y_0) \in C_N(k)$ , and the condition (1.3) is equivalent to  $(X_0, Y_0) \in \tilde{C}_N(k)$ . So, we have the formula (1.1).  $\square$

PROPOSITION 1.4. *The zeta functions of  $C'_n$  is given by the following formula:*

$$(1.4) \quad Z(T, C'_n/k) = (1-T)^{-1}(1-qT)^{-1} \prod_{1 \leq a < N, a \neq N-1, a: \text{odd}} (1 - (\pm j_a) T).$$

PROOF. From the definition of zeta functions it suffices to show the following formula:

$$(1.5) \quad N'_1 = 1 + q + \sum_{a: \text{odd}} \chi(-1)^{a_0} j_a.$$

From Lemma 1.2, we can calculate  $N_1$  and  $\tilde{N}_1$  as the following:

$$(1.6) \quad N_1 = 1 + q + \sum_a \chi(-1)^{a_0} j_a,$$

and

$$(1.7) \quad \tilde{N}_1 = 1 + q + \sum_a \chi(-1)^{a_0} \chi(\omega)^{-(N/2)a_0 - b_0} j_a,$$

so, the proof is completed.  $\square$

## § 2. Unirationality and supersingularity

In this section, we use the same notations as in §1 unless otherwise mentioned.

PROPOSITION 2.1. *Assume that there exists a positive integer  $\nu$  such that*

$$(2.1) \quad p^\nu \equiv -1, n \pmod{N}.$$

*Then, the surface  $S'_n$  is unirational.*

PROOF. If  $p^\nu \equiv -1 \pmod{N}$ , then  $S_N$  is unirational ([5]). So,  $S'_n$  is unirational, too. Assume that  $p^\nu \equiv n \pmod{N}$ .  $S'_n$  is birationally equivalent to a hypersurface  $H_0$  in  $A^3$  whose defining equation is as follows:

$$H_0: t^2 = (u^n - u)(x^n - x).$$

$H_0$  is birationally equivalent to a hypersurface  $H_1$  in  $A^3$  whose defining equation is as follows:

$$(2.2) \quad H_1: t^2 z^{2n-2} = (u^n - uz^{n-1})(1 - z^{n-1}).$$

From (2.2), we have

$$(2.3) \quad u = \frac{t^2 z^{n-1}}{z^{n-1} - 1} + u^n / z^{n-1}.$$

Since  $p^\nu \equiv n \pmod{N}$ , there exists an integer such that  $p^\nu = 2(n-1)l + n = (n-1)(2l+1) + 1$ . Putting  $s^{2l+1} = u$ , we have

$$(2.4) \quad s = \frac{t^2 z^{n-1}}{z^{n-1} - 1} s^{-2l} + s^{p^\nu} / z^{n-1}.$$

Putting  $\beta = (z^{n-1} s^{-l} / z^{n-1} - 1)t$ , we have

$$(2.5) \quad s - \beta^2 = (s^{p^\nu} - \beta^2) / z^{n-1}.$$

Putting  $\beta = \gamma^{p^\nu}$ , we have

$$(2.6) \quad s - \gamma^{2p^\nu} = (s - \gamma^2)^{p^\nu} / z^{n-1}.$$

Putting  $\delta = (s - \gamma^2)^{2l+1} / z$  finally, we have

$$(2.7) \quad s - \gamma^{2p^\nu} = (s - \gamma^2) \delta^{n-1}.$$

Since the equation (2.7) shows that the function field  $k(S'_n) = k(H_1)$  is contained in the function field  $k(\gamma, \delta) = k(\mathbf{P}^2)$ , the proof is completed.  $\square$

REMARK 2.2. Since it is known that any unirational surface is supersingular ([3]), we know from Proposition 2.1 that if we assume that there exists a positive integer  $\nu$  such that  $p^\nu \equiv -1, n \pmod{N}$ , then, the surface  $S'_n$  is supersingular.

It is known that supersingularity of  $T'_n$  is equivalent to that all the eigenvalues of the second  $\ell$ -adic étale cohomology group are equal to  $q^{-1}$  ([8]). Since these eigenvalues can be calculated from  $Z(T, C'_n)$ , we have the following lemma.

LEMMA 2.3. *The following two conditions are equivalent.*

- (1)  $S'_n$  is supersingular.
- (2) For suitable even power  $q$  of  $p$  and all the odd integer  $a$  such that  $1 \leq a < N$ , it holds that  $j_a = q^{1/2}$ .

PROOF. Consider another condition

- (3)  $T'_n$  is supersingular.

Since the equivalence of (2) and (3) is shown from the formula of  $Z(T, C'_n)$  proved in Proposition 1.4, it suffices to show the equivalence of (1) and (3). Since  $\iota$  acts as  $-1$  in  $H^1(\mathbf{Q}_\ell, C'_n)$ , by Künneth formula,  $\iota$  acts as identity in  $H^2(\mathbf{Q}_\ell, T'_n)$ . This shows the equivalence of (1) and (3).  $\square$

Now, we have the following problem.

PROBLEM 2.4. Assume that  $S'_n$  is supersingular. Then, does the conditional equation (2.1) hold?

We prepare more notations to handle this problem.

$K = \mathbf{Q}(\zeta_N)$ : the  $N$ -th cyclotomic field,

$G = \text{Gal}(K/\mathbf{Q})$ ,

$H \subset G$ : the decomposition group of  $p$  over  $K$ . (Card.  $H = f$ .)

We identify the elements of  $H$  and integers  $t_i$  such that  $1 \leq t_i \leq N-3$ :

$$H = \{t_0 = 1, \dots, t_{f-1}\}.$$

For a integer  $a$  such that  $1 \leq a \leq N-1$  and  $a \neq n-1$ , we set

$$A_H(a) = \sum_{i=0}^{f-1} [1/2 + \langle t_i a / N \rangle],$$

where  $\langle \rangle$  denotes the fractional part.

$s \in H$ : the unique elements in  $H$  such that  $s^2 = 1$  and  $s \neq 1$ . ( $s$  exists only when  $f$  is even.)

With an odd integer  $N'$  and an integer  $e \geq 2$ , we decompose  $N$  as follows:

$$N = 2^e N'$$

$\phi: (\mathbf{Z}/N) \rightarrow (\mathbf{Z}/2^e)$ : the canonical projection.

$H' := \phi(H)$ ,  $f' := \text{Card. } H'$ ,  $s' := \phi(s)$ .

By Stickelberger's relation, we can calculate  $j_a$  with  $A_H(a)$ .

LEMMA 2.5. *The following two conditions on  $a$  are equivalent:*

- (1)  $(j(a)^\nu) \neq (p^{\nu f/2})$  for all positive integers  $\nu$ .
- (2)  $A_H(a) \neq f/2$ .

PROOF. This can be proved similarly to the Lemma 3.1 in [6].  $\square$

PROPOSITION 2.6. *Assume the following conditions  $A_0$  and  $B_0$ .*

$A_0$ : *There exists no integers  $\nu$  which suffices the condition (2.1).*

$B_0$ : *The characteristic  $p$  suffices one of the following conditions  $B_1, \dots$ , or  $B_4$ .*

$B_1$ :  $f=2$ .

$B_2$ :  $N=2^e$ .

$B_3$ :  $f$  or  $f'$  is odd.

$B_4$ :  $f'=2$  and  $H' \not\equiv 2^e - 1, 2^{e-1} + 1$ .

*Then, there exists an odd integer  $a$  such that  $1 \leq a \leq N-1$  and  $A_H(a) \neq f/2$ .*

PROOF. **Case (1)** the case where the condition  $B_1$  is satisfied.

In this case, it suffices that  $N \geq 8$  and  $H = \{1, s\}$ .

At first, we divide reductively the interval  $L_\infty = [0, N)$ , where  $s$  is in:

$$I_0 := L_0 = [0, N/2],$$

$$I_1 := (4N/6, 5N/6), \quad L_1 := L_0 + I_1 = [0, N/2] \cup (4N/6, 5N/6),$$

$$I_2 := (6N/10, 7N/10) \cup (8N/10, 9N/10),$$

$$L_2 := L_1 \cup I_2 := [0, N/2] \cup (6N/10, 9N/10),$$

$$I_k := \left( \frac{(2k+2)N}{4k+2}, \frac{(2k+3)N}{4k+2} \right) \cup \left( \frac{4kN}{4k+2}, \frac{(4k+1)N}{4k+2} \right),$$

$\vdots$

$$L_k := L_{k-1} \cup I_k = [0, N/2] \cup \left( \frac{(2k+2)N}{4k+2}, \frac{(4k+1)N}{4k+2} \right),$$

If  $s \in L_0$ , then,

$$A_H(1) = [1/2 + \langle 1/N \rangle] + [1/2 + \langle s/N \rangle] = 0.$$

If  $s \in I_1$ , then,

$$A_H(3) = [1/2 + \langle 3/N \rangle] + [1/2 + \langle 3s/N \rangle] = 0.$$

For  $k \geq 1$ , assume that  $s \notin L_k$ . Since  $s$  is a square-root of 1, it must hold that  $\sqrt{N} \geq N/4k+2$ . Especially, it holds that  $N > 4k+6$ .

If  $s \in I_{k+1}$ , then, it holds that

$$A_H(2k+3) = [1/2 + \langle (2k+3)/N \rangle] + [1/2 + \langle (2k+3)s/N \rangle] = 0.$$

Since the inductive limit of  $L_k$ 's is  $L_\infty$ , the proof in this case is completed.

**Case (2)** the case where the condition  $B_2$  is satisfied.

It may assume that  $f \geq 4$ , i.e.,  $H$  has an element  $c$  of order 4. Then, a simple calculation shows that  $c^2 = n \in H$ . It conflicts to the assumption  $A_0$ .

**Case (3)** the case where the condition  $B_3$  or  $B_4$  is satisfied.

If  $f$  is odd, this proposition is trivial.

It may assume that  $N' \geq 3$ . Then, for an odd integer  $a$ , it holds that

$$A_H(N'a) = \sum_{t \in H} [1/2 + \langle N't/2^e N' \rangle] = \text{Card.}(H/H') A'_H(a).$$

So, this case is reduced to the case (2).  $\square$

Finally, we summarize our results as in the following.

**THEOREM 2.7.** *For the characteristic  $p$ , assume that the condition  $B_0$  in Proposition 2.6 is satisfied. Then, the following three conditions are equivalent.*

- (1)  $S'_n$  is unirational.
- (2)  $S'_n$  is supersingular.
- (3) There exists a positive integer  $\nu$  such that

$$p^\nu \equiv -1, n \pmod{N}.$$

## References

- [1] T. Ibukiyama, T. Katsura and F. Oort: *Supersingular curves of genus two and class numbers*, Compositio Math. **57** (1986), 127-152.
- [2] T. Katsura: *Generalized Kummer Surfaces and their unirationality in characteristic  $p$* , J. Fac. Sci. Univ. Tokyo, Sec. IA **34** (1987), 1-41.
- [3] T. Shioda: *An example of unirational surfaces in characteristic  $p$* , Math. Ann. **211** (1974), 233-236.
- [4] T. Shioda: *On unirationality of supersingular surfaces*, Math. Ann. **225** (1977), 155-159.
- [5] T. Shioda: *Some results on unirationality of algebraic surfaces*, Math. Ann. **230** (1977), 153-168.
- [6] T. Shioda and T. Katsura: *On Fermat varieties*, Tôhoku Math. J. **31** (1979), 97-115.
- [7] J. Tate: *Algebraic cycles and poles of zeta functions*, in Arithmetic Algebraic Geometry (1965), Harper and Row, New York, 93-110.
- [8] J. Tate: *Endmorphism of abelian varieties over fields*, Inventiones Math. **2** (1966), 134-144.
- [9] A. Weil: *Number of solutions of equations in finite fields*, Bull. A. M. S. **55** (1949), 497-508.
- [10] A. Weil: *Jacobi sums as "Größencharactere"*, Trans. A. M. S. **73** (1952), 487-495.