

Projective Normality and the Defining Equations of an Elliptic Ruled Surface with Negative Invariant

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Let C be a complete nonsingular curve over an algebraic closed field k . Let X be a ruled surface over C , that is a complete nonsingular surface with a surjective morphism $\pi: X \rightarrow C$ such that each fibre is isomorphic to P^1 and π admits a section. When C is an elliptic curve, X is called an elliptic ruled surface. From now on we consider elliptic ruled surfaces over a fixed elliptic curve C .

The present paper is a continuation of [2], and our notations are the same as used in that paper.

REMARK. The explanation of C_0 in the introduction of [2] should read as follows. We fix a section C_0 with $\mathcal{O}_X(C_0) \cong \mathcal{O}_{P(\mathcal{E})}(1)$, where \mathcal{E} , which is explained later, is a normalized locally free sheaf of rank 2 on C .

THEOREM ([1, V, 2.21], [2, Th. 3.3]). *Let X be an elliptic ruled surface with non-negative invariant e and $D \sim nC_0 + bf$ a divisor on X . Then*

- (1) *D is ample if and only if $n \geq 1$ and $\deg b > ne$;*
- (2) *D is very ample if and only if $n \geq 1$ and $\deg b \geq ne + 3$. In this case D is normally generated and $I(D) = \text{Ker}[S\Gamma(D) \rightarrow \bigoplus_{j \geq 0} \Gamma(jD)]$ is generated by its elements of degree 2 and 3.*

In this paper a similar result for X with negative invariant will be proved. For the fixed elliptic curve C , such a surface X is unique up to isomorphism and $e = -1$. It is known that $D \sim nC_0 + bf$ on X is ample if and only if $n \geq 1$ and $\deg b > (1/2)ne$ ([1, V, 2.21]). The result, which will be proved in §3, is as follows: *D is very ample if and only if $n = 1$ and $\deg b \geq 2$; or $n \geq 2$ and $\deg b \geq (ne + 3)/2$. In this case D is normally generated and $I(D)$ is generated by its elements of degree 2 and 3.*

As in the previous paper [2], our main tool for the proof is

cohomology of a divisor on X . In [2] the dimension $h^i(D)$ of the i -th cohomology group $H^i(X, D)$ was computed partially, using the following exact sequences (#1), (#2) and their long exact sequences.

$$(\#1) \quad 0 \rightarrow D - C_0 \rightarrow D \rightarrow D|_{C_0} \cong D \otimes \mathcal{O}_{C_0} \rightarrow 0.$$

$$(\#2) \quad 0 \rightarrow D - yf \rightarrow D \rightarrow D|_{\pi^{-1}(y)} \cong D \otimes \mathcal{O}_{P^1} \rightarrow 0, \quad \text{where } y \in C.$$

In §2 we will compute $h^i(D)$ for $D \sim nC_0 + bf$ by using the following exact sequence

$$(\#3) \quad 0 \rightarrow D - Y \rightarrow D \rightarrow D|_Y \rightarrow 0,$$

where Y is an elliptic curve on X which is numerically equivalent to $2C_0 - f$. The sequence (#3) and its resulting cohomology sequence will play an important role in this paper. The existence of such a curve Y is proved by using a technique of an elementary transformation of elliptic ruled surfaces. In the first section we will study an elementary transformation of X with center one point.

§1. An elementary transformation.

We begin by recalling some notations and some definitions. Let $\pi: X \cong P(\mathcal{E}) \rightarrow C$ be an elliptic ruled surface, where \mathcal{E} is a normalized locally free sheaf of rank 2 on C . Then $-e = -\Lambda^2 \mathcal{E}$ has degree e that is the invariant of X . A section is said to be minimal when its self-intersection number is equal to $-e$. We fix a section C_0 such that $\mathcal{O}_X(C_0) \cong \mathcal{O}_{P(\mathcal{E})}(1)$, which is minimal. A divisor D on X is written $nC_0 + bf$ up to linear equivalence, where n is an integer and b is a divisor on C .

First we remark on minimal sections. When $e > 0$; or X corresponds to an indecomposable locally free sheaf \mathcal{E} of degree 0; or X is isomorphic to $P^1 \times C$, any minimal section is linearly equivalent to $\mathcal{O}_{X_0}(C_0)$. Furthermore in the former two cases, C_0 is unique since $h^0(C_0) = 1$. Next if $X \cong P(\mathcal{O}_C \oplus e)$ with invariant 0, where $e \not\sim \mathcal{O}_C$, then a minimal section is linearly equivalent to $\mathcal{O}_X(C_0)$ or $\mathcal{O}_X(C_0 - ef)$. Since $h^0(C_0) = h^0(C_0 - ef) = 1$, we denote by C_e the minimal section other than C_0 . Finally when $e = -1$, $|C_0 + bf|$ contains a minimal section if and only if $\deg b = 0$. In this case $h^0(C_0 + bf) = 1$.

Now we study an elementary transformation of X with center a point $P \in X$. It is easy to see that the elementary transform X' of X is also an elliptic ruled surface ([1, V, 5.7.1]). Our result is as follows: if P is on a minimal section, then the strict transform of the section is also minimal on X' with invariant $e+1$. In the other case, X' has the invariant $e-1$ (Prop. 1.1, 1.2, 1.3, 1.4).

Let L_y be $\pi^{-1}(y)$ where $y = \pi(P)$. Let $f: \tilde{X} \rightarrow X$ be the monoidal transformation with center P and E the exceptional curve of f . If Z is a curve on X , we denote by \tilde{Z} [resp. Z'] the strict transform of Z via f [resp. elm_P]. Let $g: \tilde{X} \rightarrow X'$ be the morphism sending \tilde{L}_y to a point Q , then we get the elementary transformation $\text{elm}_P = g \cdot f^{-1}$.

PROPOSITION 1.1. *Let $X \cong P(\mathcal{O}_C \oplus \epsilon)$ be an elliptic ruled surface with $e \geq 1$ and P a point on X with $\pi(P) = y$.*

(1) *If $P \in C_0$, then $X' \cong P(\mathcal{O}_C \oplus (\epsilon - y))$ and C'_0 is the minimal section of X' (Fig. 1).*

(2) *If $P \notin C_0$ and $e \geq 2$, then $X' \cong P(\mathcal{O}_C \oplus (\epsilon + y))$ and C'_0 is the minimal section of X' .*

PROOF. When $P \in C_0$, we see that $C_0'^2 = \tilde{C}_0^2 = C_0^2 - 1$. Since a section with negative self-intersection number is minimal, the invariant of X' is $e + 1$. If Z is a section on X such that $Z^2 = e$, then $Z \sim C_0 - \epsilon f$ ([2, Prop. 3.1 (a)]). Note $Z \cdot C_0 = 0$, then we get $Z' \sim C'_0 - (\epsilon - y)f$ and $Z'^2 = e + 1$. Hence we see that $X' \cong P(\mathcal{O}_C \oplus (\epsilon - y))$. Considering elm_Q , we get (2) easily.

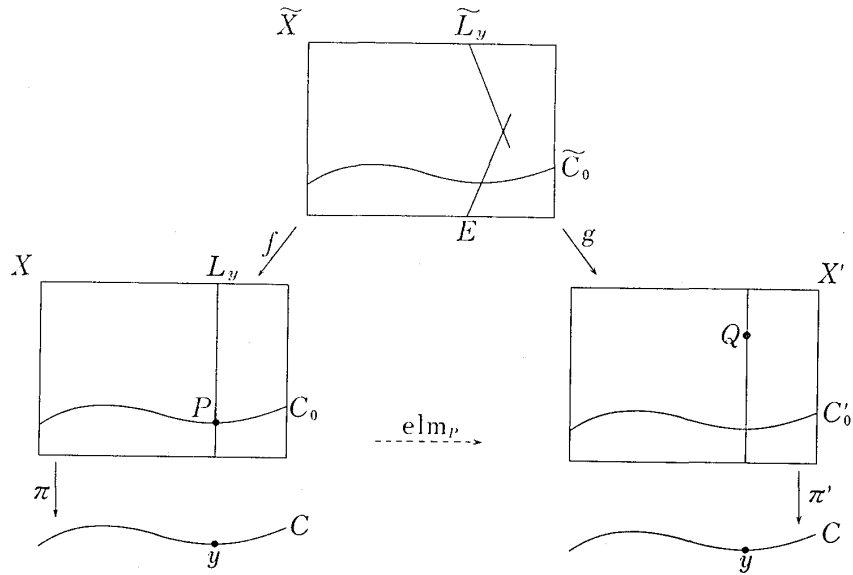


Fig. 1.

If $P \notin C_0$ and $e = 1$, then X' is an elliptic ruled surface with invariant 0. But in this case we have to study more carefully.

Let Z_1 and Z_2 be any two members of $|C_0 - \epsilon f|$. Then $Z_1 \cap Z_2$ is one point on L_y . We denote it by R , which is $Bs|C_0 - \epsilon f|$.

PROPOSITION 1.2. *Let X, P and R be as above.*

(1) *If $y \neq -\epsilon$, then $X' \cong P(\mathcal{O}_C \oplus (\epsilon + y))$. The minimal sections*

on X' are C'_0 and C'_1 , where C'_1 is the section which is passing through P and linearly equivalent to $C_0 - ef$ (Fig. 2).

(2) If $P=R$, then $X' \cong P(\mathcal{O}_C \oplus \mathcal{O}_C)$.

(3) If $y \sim -e$ and $P \neq R$, then X' corresponds to an indecomposable normalized sheaf of degree 0 and the minimal section of X' is C'_0 .

PROOF. We only prove (1). The assertions (2) and (3) can be proved in the same manner. Since $h^0(C_0 - ef) = h^0(\mathcal{E} \otimes \mathcal{O}_C(-e)) = 2$, there exists a member of $|C_0 - ef|$ that passes P . Because $P \notin C_0$ and $y \not\sim -e$, there exists a unique section $C_1 \in |C_0 - ef|$ that passes P . We can easily compute $C'_1 \sim C'_0 - (e+y)f$ and $C'^2_1 = 0$. In general if Z is a section which passes [resp. dose not pass] through P , then $Z'^2 = Z^2 - 1$ [resp. $Z^2 + 1$]. Hence for a section $Z \in |C_0 - ef|$ not passing through P , $Z'^2 = 2$. For any other section Z which is not linearly equivalent to C_0 nor $C_0 - ef$, $Z^2 \geq e + 2 = 3$ by [2, Prop. 3.1(a)]. So we see that C'_0 and C'_1 are the minimal sections on X' and $X' \cong P(\mathcal{O}_C \oplus (e+y))$.

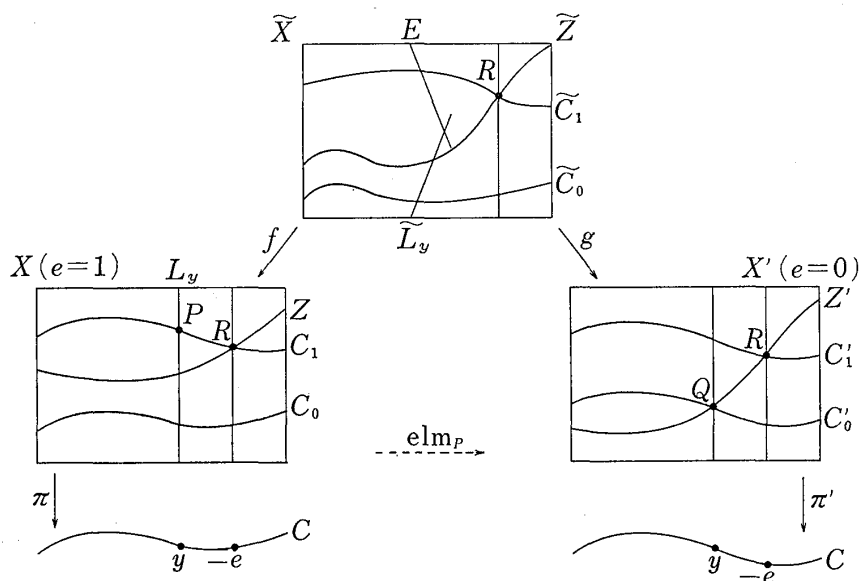


Fig. 2.

The assertion (2) of the following proposition is available in § 2.

PROPOSITION 1.3. Let $X \cong P(\mathcal{O}_C \oplus e)$ be an elliptic ruled surface with $e=0$ and $e \not\sim \mathcal{O}_C$. Put $y = \pi(P)$.

(1) If $P \in C_0$ [resp. C_1], then $X' \cong P(\mathcal{O}_C \oplus (e-y))$ [resp. $P(\mathcal{O}_C \oplus (-e-y))$] with invariant 1 and the minimal section of X' is C'_0 [resp. C'_1].

(2) If $P \notin C_0 \cup C_1$, then X' has the invariant -1 . For every $b \in \text{Pic}^1(C)$ such that b is neither y nor $y-e$, there exists a unique

section $Z_b \in |C_0 + bf|$ passing through P . The set of minimal sections on X' are the union of C'_0, C'_e and Z'_b 's (Fig. 3).

PROOF. The assertions can be proved in the same manner as Proposition 1.2. We will only give a remark on Z_b in (2).

Every section $Z \in |C_0 + bf|$ intersects with C_0 and C_e at $S \in L_{e+b}$ and $T \in L_b$ respectively. These two points are $Bs|C_0 + bf|$. On the other hand $h^0(C_0 + bf) = h^0(\mathcal{E} \otimes \mathcal{O}_C(b)) = 2$, so there exists a member of $|C_0 + bf|$ passing through P . Because $P \notin C_0 \cup C_e$, $b \not\sim y$ and $b \not\sim y - e$, such a member is unique, which is Z_b . Clearly C'_0, C'_e and Z'_b have their self-intersection number 1.

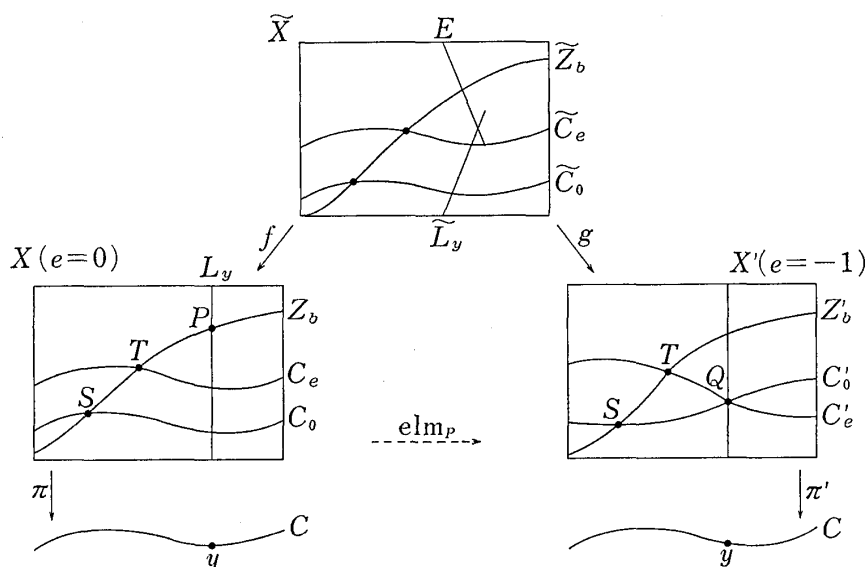


Fig. 3.

Similarly examining elm_P of X with $e = -1$, we get the following proposition but omit its proof.

PROPOSITION 1.4. Let X be an elliptic ruled surface with $e = -1$ and C_b the minimal section which is linearly equivalent to $C_0 + bf$ for every $b \in \text{Pic}^0(C)$.

(1) If there is only one minimal section passing through P , then X' corresponds to an indecomposable normalized sheaf of degree 0.

(2) If there are two minimal sections C_b and $C_{b'}$ passing through P , then $X' \cong P(\mathcal{O}_C \oplus (b - b'))$ with invariant 0, where $b \not\sim b'$.

§2. Dimension of $H^i(X, D)$.

This section is devoted to a computation of $h^i(D)$ of a divisor D on an elliptic ruled surface with invariant -1 . But for a while

let X be $P(\mathcal{O}_C \oplus e)$ with invariant 0.

LEMMA 2.1. *Let e be a divisor on C such that $2e \sim \mathcal{O}_C$. Then on $X \cong P(\mathcal{O}_C \oplus e)$ there exists an irreducible reduced curve that is linearly equivalent to $2C_0$. Such a curve is elliptic.*

PROOF. Since $h^0(2C_0) = h^0(S^2(\mathcal{O}_C \oplus e)) = 2$, there exists an effective divisor $Y \sim 2C_0$. Assume that Y is reducible. Say $Y = Y_1 \cup Y_2$, then $Y_1 \sim C_0 + bf$ and $Y_2 \sim C_0 - bf$ for some divisor b of degree 0. Since $h^0(C_0 + bf) = h^0(b \oplus (b + e)) > 0$, b must be linearly equivalent to \mathcal{O}_C or e . Hence we get that $Y_1 = Y_2 = C_0$ or $Y_1 = Y_2 = C_e$. So a general Y of $|2C_0|$ is irreducible.

Now we show that Y is elliptic. The restriction map $\pi|_Y: Y \rightarrow C$ is a finite morphism of degree 2. Hence Hurwitz formula implies that the genus of the normalization of Y is not less than 1. On the other hand, by adjunction formula $2p_a(Y) - 2 = 2C_0 \cdot (2C_0 + K)$ we get $p_a(Y) = 1$, where K is the canonical divisor $-2C_0 + ef$. This means that the genus of the normalization of Y is not greater than 1. From these we see that Y is a nonsingular curve of genus 1. q.e.d.

Now we consider an elementary transformation of X with center a point $P \in Y$, where X and Y are as above. Since Y intersects with neither C_0 nor C_e , $X' = elm_P X$ is an elliptic ruled surface with invariant -1 and C'_0 is a minimal section by Proposition 1.3. It is easily computed that Y' is linearly equivalent to $2C'_0 - yf$. Hence we get an elliptic curve Y' that is numerically equivalent to $2C'_0 - f$. We have just proved the following corollary.

COROLLARY 2.2. *The numerical equivalent class of $2C_0 - f$ on X with $e = -1$ contains an irreducible nonsingular curve, which is an elliptic curve.*

PROPOSITION 2.3. *Let $D \sim nC_0 + bf$ be a divisor on X and m the degree of b .*

- (1) *If $n \geq 0$ and $m > -n/2$, then $h^1(D) = h^2(D) = 0$ and $h^0(D) = (1/2)(n+1)(2m+n)$.*
- (2) *If $n \geq 0$ and $m < -n/2$, then $h^0(D) = h^2(D) = 0$.*
- (3) *If $n = -1$, then $h^0(D) = h^1(D) = h^2(D) = 0$.*

PROOF. We have already known (3) and the that $h^2(D)$ is vanishing under the assumption $n \geq 0$ in the previous paper. Let $Y \sim 2C_0 - yf$ be an elliptic curve in Corollary 2.2, where y is some divisor of degree 1. Consider the following exact sequence

$$(\#3) \quad 0 \rightarrow (n-2)C_0 + (b+y)f \rightarrow nC_0 + bf \rightarrow nC_0 + bf|_Y \rightarrow 0.$$

Since $\deg(D|_Y) = n + 2m$ is greater than 0, $H^1(D|_Y)$ is vanishing and we get that $H^1(D - Y) \rightarrow H^1(D)$ is surjective. Repeat this replacing D by $D - Y$, then we obtain the following surjections:

$$\begin{cases} H^1\left(D - \frac{n}{2}Y\right) \rightarrow H^1(D), & \text{if } n \text{ is even;} \\ H^1\left(D - \frac{n+1}{2}Y\right) \rightarrow H^1(D), & \text{if } n \text{ is odd.} \end{cases}$$

In n is even, then $H^1(D - (n/2)Y) = H^1((b + (n/2)\eta)f) \cong H^1(C, b + (n/2)\eta)$, which is zero. Hence $H^1(D)$ vanishes. If n is odd, then $H^1(D - ((n+1)/2)Y) = H^1(-C_0 + (b + ((n+1)/2)\eta)f)$, which is zero by (3). So we get $H^1(D) = 0$. Therefore we conclude that $h^0(D)$ is equal to $\chi(D)$, which is computed by the Riemann-Roch formula $\chi(D) = (1/2)D \cdot (D - K) + 1 + p_a(X)$, where $p_a(X) = \chi(\mathcal{O}_X) - 1$ is equal to -1 . Similarly we can prove (2).

§ 3. Projective normality of D on X .

PROPOSITION 3.1 ([2, Prop. 3.1, 3.2]). *Let $D \sim C_0 + bf$ be a divisor on X .*

- (1) *$|D|$ contains a section if and only if $\deg b \geq 0$.*
- (2) *$|D|$ has no base points if and only if $\deg b \geq 1$.*
- (3) *D is very ample if and only if $\deg b \geq 2$. In this case D is normally generated and $I(D)$ is generated by its elements of degree 2 and 3.*

LEMMA 3.2. *If $n \geq 1$ and $\deg b \geq (2-n)/2$, then a divisor $D \sim nC_0 + bf$ is free from base points.*

PROOF. We prove the result by induction on n . First we remark that a divisor bf with $\deg b \geq 2$ is free from base points. When $n=1$, the lemma holds by Proposition 3.1. We assume $n \geq 2$ and put $m = \deg b$. Let Y be as in the proof of Proposition 2.3. Since $H^1(D - Y)$ vanishes by Proposition 2.3(1), we get the following exact sequence

$$(\#4) \quad 0 \rightarrow \Gamma(D - Y) \rightarrow \Gamma(D) \rightarrow \Gamma(D|_Y) \rightarrow 0.$$

We see that D is free from base points when both $D - Y$ and $D|_Y$ are free from base points. For $\deg(D|_Y) = n + 2m$ is greater than or equal to 2, $D|_Y$ is free from base points. If n is odd, then by the induction hypothesis that $\Gamma(D - Y)$ has no base points, we get that so does $\Gamma(D)$. If n is even and $n + 2m > 2$, then by the first remark and the induction hypothesis, we get that $\Gamma(D)$ has no base points.

To complete the proof, we have only to prove that $D \sim 2C_0 + bf$ with $m=0$ is free from base points. We show that for every point P on X there exists a member of $|D|$ which does not contain P . If P is not on C_0 nor the section $C_0 + bf$, then we have nothing to do. Let P be on C_0 , then the minimal section $C_0 + (\pi(P) - e)f$ intersects with C_0 at P and any other minimal sections do not pass through P . Hence for $b' \in \text{Pic}^0(C)$ in general position, the union of two minimal sections $C_0 + b'f$ and $C_0 + (b - b')f$ is what we want. Similarly when P is on $C_0 + bf$, we can find a member of $|D|$ which does not contain P .

LEMMA 3.3. *Let $D \sim nC_0 + bf$ be a divisor on X such that $n \geq 2$ and $\deg b \geq (3-n)/2$. If the map $\beta: \Gamma(D) \otimes \Gamma(D) \rightarrow \Gamma(2D)$ is surjective, then D is normally generated.*

PROOF. By Lemma 3.2, $\Gamma(D)$ has no base points. By Proposition 2.3(1), for $t \geq 2$ both $h^1((t-1)D)$ and $h^2((t-2)D)$ are zero. An application of the generalized lemma of Castelnuovo in [3] to the map $\beta_t: \Gamma(tD) \otimes \Gamma(D) \rightarrow \Gamma((t+1)D)$ yields that β_t is surjective. By the assumption of the surjectivity of β and by ampleness of D we get that D is normally generated.

THEOREM 3.4. *A divisor $D \sim nC_0 + bf$ on X is very ample if and only if $n=1$ and $\deg b \geq 2$; or $n \geq 2$ and $\deg b \geq (3-n)/2$. In this case D is normally generated and $I(D)$ is generated by its elements of degree 2 and 3.*

PROOF. Let Y and m be as in the proof of Lemma 3.2. We have only to prove the case when $n \geq 2$. If D is very ample, then $D|_Y$ is also very ample. Hence $\deg(D|_Y) = n + 2m$ must be greater than or equal to 3. To prove the converse we show that D is normally generated. By Lemma 3.3 it is sufficient to prove the surjectivity of the map β .

In case $n=2$ or 3, we note that the assumption $n + 2m \geq 3$ means $n + m \geq 3$. We can consider the following commutative diagram

$$\begin{array}{ccccccc}
 0 \rightarrow & \Gamma(D - C_0) \otimes \Gamma(D) & \rightarrow & \Gamma(D) \otimes \Gamma(D) & \rightarrow & \Gamma(D|_{C_0}) \otimes \Gamma(D) & \rightarrow 0 \\
 & \downarrow \alpha & & \downarrow \beta & & \downarrow \gamma & \\
 0 \rightarrow & \Gamma(2D - C_0) & \rightarrow & \Gamma(2D) & \rightarrow & \Gamma(2D|_{C_0}) & \rightarrow 0.
 \end{array}$$

The rows are exact because $H^1(D - C_0)$ and $H^1(2D - C_0)$ are vanishing by Proposition 2.3(1). Since $\deg(D|_{C_0}) = n + m \geq 3$, $D|_{C_0}$ is normally generated. Hence γ is surjective. An application of the generalized lemma of Castelnuovo in [3] to the map α yields its surjectivity. In fact $\Gamma(D - C_0)$ has no base points by Lemma 3.2, and $H^1(D - (D - C_0)) = 0$

by Proposition 2.3(1) and $H^2(D-2(D-C_0))=H^2((2-n)C_0-bf)$ is zero by Proposition 2.3(2), (3). The surjectivity of α and γ implies that of β .

In case $n \geq 4$, we use induction on n . Tensoring the exact sequence (#4) in the proof of Lemma 3.2 with $\Gamma(D)$ and $\Gamma(D-Y)$ we get the following commutative diagrams with exact rows.

$$\begin{array}{ccccccc}
 0 \rightarrow & \Gamma(D-Y) \otimes \Gamma(D) & \rightarrow & \Gamma(D) \otimes \Gamma(D) & \rightarrow & \Gamma(D|_Y) \otimes \Gamma(D) & \rightarrow 0 \\
 & \downarrow \alpha & & \downarrow \beta & & \downarrow \gamma & \\
 0 \rightarrow & \Gamma(2D-Y) & \rightarrow & \Gamma(2D) & \rightarrow & \Gamma(2D-Y|_Y) & \rightarrow 0 \\
 \\
 0 \rightarrow & \Gamma(D-Y) \otimes \Gamma(D-Y) & \rightarrow & \Gamma(D) \otimes \Gamma(D-Y) & \rightarrow & \Gamma(D|_Y) \otimes \Gamma(D-Y) & \rightarrow 0 \\
 & \downarrow \beta' & & \downarrow \alpha & & \downarrow \gamma' & \\
 0 \rightarrow & \Gamma(2D-2Y) & \rightarrow & \Gamma(2D-Y) & \rightarrow & \Gamma(2D-Y|_Y) & \rightarrow 0
 \end{array}$$

Since $\deg(D|_Y)=n+2m \geq 3$, $D|_Y$ is normally generated. Hence γ is surjective. We claim that γ' is surjective. Since both $\deg(D|_Y)$ and $\deg(D-Y|_Y)$ are greater than or equal to 3, $\Gamma(D|_Y) \otimes \Gamma(D-Y|_Y) \rightarrow \Gamma(2D-Y|_Y)$ is surjective ([4, Prop. 1.10]). Furthermore there is a surjection $\Gamma(D-Y) \rightarrow \Gamma(D-Y|_Y)$, because $H^1(D-Y)$ is vanishing. Therefore γ' is surjective. By the induction hypothesis that β' is surjective, so is α . It follows that β is surjective as required.

For the rest of the theorem on generators of $I(D)$, see [2, 69-70 pp.]. The same proof will work. q.e.d.

We summarize our results in Fig. 4, where a lattice point (n, m) means a divisor which is numerically equivalent to $nC_0 + mf$.

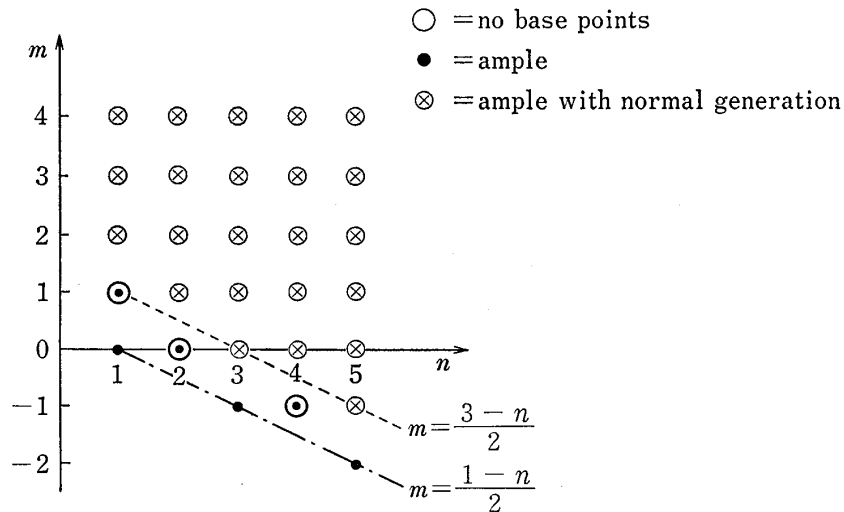


Fig. 4.

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