

## On Idèle Class Groups of Imaginary Quadratic Fields

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(Received, April 10, 1978)

### Introduction.

Let  $\mathbf{Q}$  be the rational number field and  $k$  be an algebraic number field of finite degree over  $\mathbf{Q}$ . We denote by  $C_k$  the idèle class group of  $k$ . It is well-known that  $C_k$  is a locally compact abelian group. In this paper, we shall consider the structure of  $C_k$  as a topological group when  $k$  is an imaginary quadratic field. Let  $\mathbf{R}$  denote the additive group of the real numbers with usual topology,  $\mathbf{T}$  the multiplicative group of all complex numbers of absolute value 1 with compact topology, and  $Gal(A_k/k)$  the Galois group of the maximal abelian extension  $A_k$  over  $k$  with the Krull topology. Then it will be shown that

$$C_k \cong \mathbf{T} \times \mathbf{R} \times Gal(A_k/k),$$

where  $k$  is an imaginary quadratic field (Cor. 1 of Theorem 1).

Furthermore we shall see that even if the idèle class groups  $C_k$  and  $C_{k'}$  are isomorphic, the ideal class groups of  $k$  and  $k'$  are not necessarily isomorphic. In other words, the idèle class group of  $k$  does not determine the structure of the ideal class group of  $k$ .

### § 1. Preliminaries.

Let  $k$  be an algebraic number field which has  $r_1$  real infinite primes and  $r_2$  complex infinite primes. We shall denote by  $I_k$  the idèle group of  $k$ ,  $k^\times$  the subgroup of principal idèles, and  $C_k = I_k/k^\times$  the idèle class group of  $k$ . An idèle will be denoted by  $a = (a_v) = (a_p, a_\lambda)$ , where  $v$  runs all primes of  $k$ ,  $p$  all finite primes and  $\lambda$  all infinite primes of  $k$  ( $\lambda = 1, \dots, r_1, r_1 + 1, \dots, r_1 + r_2$ ).

As  $C_k$  is a locally compact abelian group, its structure is determined by the character group by virtue of the duality theorem. We now consider the character group  $C_k^*$  of  $C_k$ . If  $\chi$  is a character of  $C_k$ , i.e. a continuous homomorphism of  $C_k$  into  $\mathbf{T}$ , we can regard it as a character of  $I_k$  such that  $\chi(k^\times) = 1$ . Conversely a character  $\chi$  of  $I_k$  such that  $\chi(k^\times) = 1$  is regarded

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as a character of  $C_k$ . We consider the restriction of  $\chi$  to the infinite part  $\mathbf{R}^{\times r_1} \mathbf{C}^{\times r_2}$  of  $I_k$ . It is known that there exist  $f_\lambda \in \mathbf{Z}$  and  $\varphi_\lambda \in \mathbf{R} (\lambda=1, \dots, r_1+r_2)$  such that

$$\chi((a_\lambda)) = \prod_{\lambda=1}^{r_1+r_2} \left( \frac{a_\lambda}{|a_\lambda|} \right)^{f_\lambda} |a_\lambda|^{\sqrt{-1} \varphi_\lambda}, \quad (a_\lambda) \in \mathbf{R}^{\times r_1} \mathbf{C}^{\times r_2},$$

and then we call  $\chi$  a character of type  $(f_\lambda, \varphi_\lambda)$ .

Now we shall deal with Grössencharakteren. For an integral ideal  $\mathfrak{m}$  of  $k$ , we denote by  $G(\mathfrak{m})$  the group of fractional ideals in  $k$ , prime to  $\mathfrak{m}$ , and  $S(\mathfrak{m})$  the subgroup of  $G(\mathfrak{m})$  which consists of principal ideals  $(\xi)$  with  $\xi \equiv 1 \pmod{\mathfrak{m}}$ . A character  $\phi$  of  $G(\mathfrak{m})$  is called a Grössencharakter mod  $\mathfrak{m}$  if there exist  $f_\lambda \in \mathbf{Z}$  and  $\varphi_\lambda \in \mathbf{R} (\lambda=1, \dots, r_1+r_2)$  such that for any  $\xi \in k^\times$  with  $\xi \equiv 1 \pmod{\mathfrak{m}}$ ,

$$\phi((\xi)) = \prod_{\lambda=1}^{r_1+r_2} \left( \frac{\xi^{\sigma_\lambda}}{|\xi^{\sigma_\lambda}|} \right)^{f_\lambda} |\xi^{\sigma_\lambda}|^{\sqrt{-1} \varphi_\lambda},$$

where  $\sigma_\lambda$  are the embeddings of  $k$  into  $\mathbf{C} (\lambda=1, \dots, r_1+r_2)$ .  $\phi$  is then called of type  $(f_\lambda, \varphi_\lambda)$ . We shall agree that two Grössencharakteren are equivalent when they are identical on the common domain, i.e.  $\phi_1 \sim \phi_2$  if and only if  $\phi_1 = \phi_2$  on  $G(\mathfrak{m}_1 \mathfrak{m}_2)$ , where  $\phi_i$  is a Grössencharakter mod  $\mathfrak{m}_i (i=1, 2)$ .

Here we recall the one-to-one correspondence between characters of  $C_k$  and Grössencharakteren of  $k$ . A character of  $C_k$  of type  $(f_\lambda, \varphi_\lambda)$  corresponds to a Grössencharakter of type  $(-f_\lambda, -\varphi_\lambda)$ . For an integral ideal  $\mathfrak{m} = \prod \mathfrak{p}^{e_\mathfrak{p}}$  of  $k (e_\mathfrak{p} \in \mathbf{Z}, > 0)$ , we put

$$I(\mathfrak{m}) = \{a = (a_v) \in I_k : a_\mathfrak{p} = 1 \text{ for } \mathfrak{p} | \mathfrak{m}, a_\lambda = 1 (\lambda=1, \dots, r_1+r_2)\}$$

$$I(\mathfrak{m})' = \{a = (a_v) \in I_k : a_\mathfrak{p} \equiv 1 \pmod{\mathfrak{p}^{e_\mathfrak{p}}} \text{ for } \mathfrak{p} | \mathfrak{m}\}$$

$$U = \{a = (a_v) \in I_k : a_\mathfrak{p} \text{ is an unit in } k_\mathfrak{p}\}$$

Let  $\chi$  be a character of  $C_k$  with conductor  $\mathfrak{m}$ . Each idèle  $a = (a_v)$  determines in an obvious manner an ideal of  $k$ ; denote it by  $\text{id}(a)$ . Therefore we have the isomorphism  $G(\mathfrak{m}) \cong I(\mathfrak{m}) / (I(\mathfrak{m}) \cap U)$ . Then we can define the Grössencharakter corresponding to  $\chi$  through this isomorphism. Conversely assume that we have a Grössencharakter  $\phi$  mod  $\mathfrak{m}$  of type  $(f_\lambda, \varphi_\lambda)$ . We can define a character  $\chi$  of  $C_k$  as follows:

$$\chi(a) = \phi(\text{id}(a)) \prod_{\lambda=1}^{r_1+r_2} \left( \frac{a_\lambda}{|a_\lambda|} \right)^{-f_\lambda} |a_\lambda|^{-\sqrt{-1} \varphi_\lambda}, \text{ for any } a = (a_v) \in I(\mathfrak{m})'$$

$$\chi(a) = 1, \quad \text{for any } a \in k^\times.$$

Since  $I(\mathfrak{m})' k^\times = I_k$ , we obtain a character  $\chi$  of  $C_k$ .

The following lemma is well-known ([2] p. 184).

LEMMA. Let  $\chi$  be a character of  $C_k$  of type  $(f_\lambda, \varphi_\lambda)$ .

The following three assertions are equivalent:

- (i)  $\chi$  is of finite order,
- (ii)  $\chi(D_k) = 1$ , where  $D_k$  is the connected component of identity of  $C_k$ ,
- (iii)  $\begin{cases} f_\lambda = 0 & (\lambda : \text{all complex primes}) \\ \varphi_\lambda = 0 & (\lambda : \text{all real and complex primes}). \end{cases}$

§ 2. The structure of  $C_k$ .

THEOREM 1. Let  $k$  be an imaginary quadratic field and  $C_k^*$  the character group of the idele class group  $C_k$ . Then

$$C_k^* \cong \mathbf{Z} \times \mathbf{R} \times T_k,$$

where  $\mathbf{Z}$  is the additive group of rational integers with discrete topology,  $\mathbf{R}$  the additive group of real numbers with usual topology, and  $T_k$  the torsion subgroup of  $C_k^*$  with discrete topology.

PROOF. Let  $\mathfrak{p}$  be a prime ideal of  $k$  such that  $\varepsilon \not\equiv 1 \pmod{\mathfrak{p}}$  for any unit  $\varepsilon (\neq 1)$  of  $k$ . We obtain a character  $\psi$  of  $S(\mathfrak{p})$  by defining

$$\psi((\xi)) = \left( \frac{\xi}{|\xi|} \right)^{-1}$$

for any  $\xi \equiv 1 \pmod{\mathfrak{p}}$ . It is well-defined by our assumption on  $\mathfrak{p}$ . As the index  $(G(\mathfrak{p}) : S(\mathfrak{p}))$  is finite,  $\psi$  can be extended to a character of  $G(\mathfrak{p})$ . Take one of them and again denote it by  $\psi$ . This is a Grössencharacter mod  $\mathfrak{p}$  of type  $(-1, 0)$ , then we define  $\chi_{(1,0)}$  by the character of  $C_k$  which corresponds to  $\psi$ ;  $\chi_{(1,0)}$  is of type  $(1,0)$ .

Next we will define

$$\phi(\alpha) = N \alpha^{-\sqrt{-1} \frac{\varphi}{2}}$$

for any ideal  $\alpha$ , where  $N$  is the norm in  $k$  over  $\mathbf{Q}$ . Then  $\phi$  is a Grössencharacter mod 1 of type  $(0, -\varphi)$  and we put  $\chi_{(0,\varphi)}$  the character of  $C_k$  which corresponds to  $\phi$ . This is of type  $(0, \varphi)$  and we have

$$\chi_{(0,\varphi)}(a) = \phi(\text{id}(a)) |a_\lambda|^{\sqrt{-1} \varphi},$$

for any idèle  $a = (a_v)$ .

Now for any  $f \in \mathbf{Z}$  and  $\varphi \in \mathbf{R}$ , we define  $\chi_{(f,\varphi)}$  as follows :

$$\chi_{(f,\varphi)} = \chi_{(1,f)} \chi_{(0,\varphi)}.$$

$\chi_{(f,\varphi)}$  is of type  $(f, \varphi)$ . Since

$$\chi_{(f_1+f_2, \varphi_1+\varphi_2)} = \chi_{(f_1, \varphi_1)} \cdot \chi_{(f_2, \varphi_2)}$$

for any  $f_i \in \mathbf{Z}$  and  $\varphi_i \in \mathbf{R} (i=1, 2)$ , the subgroup

$$\{\chi_{(f,\varphi)} \in C_k^* : f \in \mathbf{Z}, \varphi \in \mathbf{R}\}$$

is isomorphic to the additive group  $\mathbf{Z} \times \mathbf{R}$ . Thus we can treat  $\mathbf{Z} \times \mathbf{R}$  as the subgroup of  $C_k^*$ . Let  $\chi$  be a character of  $C_k$  of type  $(f, \varphi)$ . As  $\chi \cdot \chi_{(f,\varphi)}^{-1}$  is of type  $(0, 0)$ , it belongs to  $T_k$  by lemma, that is  $C_k = (\mathbf{Z} \times \mathbf{R}) \cdot T_k$ . By the same lemma,  $\mathbf{Z} \times \mathbf{R} \cap T_k = 1$ . Therefore we have

$$C_k^* = \mathbf{Z} \times \mathbf{R} \times T_k \quad (\text{as groups}).$$

Now it is well-known that  $C_k \cong \mathbf{R} \times C_k^0$ , where  $C_k^0 = I_k^0/k^\times$  and  $I_k^0$  is the subgroup of all idèles of volume 1. Let  $H$  be the annihilator of  $C_k^0$ , i.e.

$$H = \{\chi \in C_k^* : \chi(C_k^0) = 1\}.$$

We will prove that  $H = 0 \times \mathbf{R} \times 1$ . In order to show it, it is sufficient to prove the following three statements:

- (1)  $H \cap \mathbf{Z} \times 0 \times 1 = 1$ ,
- (2)  $H \supset 0 \times \mathbf{R} \times 1$ ,
- (3)  $H \cap 0 \times 0 \times T_k = 1$ .

Indeed, let  $(a, b, c)$  be any element of  $H$ . There exists a natural number  $n$  such that  $c^n = 1$ , then  $(na, nb, 1) \in H$ . By (2),  $(0, -nb, 1) \in H$ , and so  $(na, 0, 1) \in H$ ; by (1), we have  $na = 0$ , i.e.  $a = 0$ . It is shown  $c = 1$  in the same way. Thus  $H \subset 0 \times \mathbf{R} \times 1$ . Hence we have  $H = 0 \times \mathbf{R} \times 1$ .

PROOF of (1). We will show  $\chi_{(f,0)}(C_k^0) \neq 1$  for any  $f \in \mathbf{Z} (\neq 0)$ . Let  $a = (a_\nu) (\neq 1)$  be an element of  $I(\mathfrak{p})' \cap I_k^0$ . If  $\chi_{(f,0)}(a) \neq 1$ , it is obvious that  $\chi_{(f,0)}(C_k^0) \neq 1$ . Then assume that  $\chi_{(f,0)}(a) = 1$ . We put  $a' = (a_\nu, za_\lambda) \in I(\mathfrak{p})' \cap I_k^0$ , where  $z \in \mathbf{T}$  such that  $z^f \neq 1$ . Then

$$\chi_{(f,0)}(a') = \phi(\text{id}(a'))^f \left( \frac{za_\lambda}{|za_\lambda|} \right)^f = \phi(\text{id}(a))^f \left( \frac{a_\lambda}{|a_\lambda|} \right)^f z^f = z^f \neq 1.$$

This shows that  $\chi_{(f,0)}(C_k^0) \neq 1$ .

PROOF of (2). We will show that  $\chi_{(0,\varphi)}(C_k^0) = 1$  for any  $\varphi \in \mathbf{R}$ . If  $a = (a_\nu) \in I_k^0$ , then  $N(\text{id}(a)) = |a_\lambda|^2$ . Therefore

$$\chi_{(0,\varphi)}(a) = N(\text{id}(a))^{-\sqrt{-1} \frac{\varphi}{2}} |a_\lambda|^{\sqrt{-1}\varphi} = 1.$$

PROOF of (3). We show that  $\chi(C_k^0) \neq 1$  for any  $\chi \in T_k, \neq 1$ . As  $\chi \neq 1$ , there exists  $a = (a_\nu) = (a_\nu, a_\lambda) \in I_k$  such that  $\chi(a) \neq 1$ . We can choose  $a'_\lambda \in C^\times$  such that  $a' = (a_\nu, a'_\lambda) \in I_k^0$ . Then we have  $\chi(a') = \chi(a) \neq 1$  because  $\chi(a_\lambda) = \chi(a'_\lambda) = 1$ .

Since  $C_k \cong \mathbf{R} \times C_k^0$ , then  $C_k^* \cong \mathbf{R} \times (C_k^0)^*$ . As we have seen,

$$C_k^* = \mathbf{Z} \times \mathbf{R} \times T_k$$

and

$$H = 0 \times \mathbf{R} \times 1.$$

Therefore

$$(C_k^0)^* \cong C_k^*/H \cong \mathbf{Z} \times \mathbf{R} \times T_k / 0 \times \mathbf{R} \times 1 \cong \mathbf{Z} \times T_k.$$

Since  $(C_k^0)^*$  is a discrete group, we have

$$C_k^* \cong \mathbf{R} \times \mathbf{Z} \times T_k \quad (\text{as topological groups}).$$

This completes our proof.

**COROLLARY 1.** Let  $k$  be an imaginary quadratic field and  $C_k$  the idèle class group of  $k$ . Then

$$C_k \cong \mathbf{T} \times \mathbf{R} \times \text{Gal}(A_k/k).$$

**PROOF.** We have  $T_k \cong (C_k/D_k)^*$  by Lemma. From Theorem 1, duality theorem, and class field theory, we have

$$\begin{aligned} C_k &\cong \mathbf{Z}^* \times \mathbf{R}^* \times T_k^* = \mathbf{T} \times \mathbf{R} \times C_k/D_k \\ &= \mathbf{T} \times \mathbf{R} \times \text{Gal}(A_k/k). \end{aligned}$$

**COROLLARY 2.** For any imaginary quadratic fields  $k$  and  $k'$ , the following two assertions are equivalent :

- (i)  $C_k \cong C_{k'}$ ,
- (ii)  $\text{Gal}(A_k/k) \cong \text{Gal}(A_{k'}/k')$ .

Consequently, for all imaginary quadratic fields  $k$ , except  $\mathbf{Q}(\sqrt{-1})$ , with class number 1, the idèle class groups  $C_k$  are isomorphic to each other (cf. [1]).

**COROLLARY 3.** Let  $\mathcal{C}_k$  be the idéal class group of  $k$ . Then there exist  $k$  and  $k'$  satisfying the following conditions :

- (i)  $C_k \cong C_{k'}$
- (ii)  $\mathcal{C}_k \not\cong \mathcal{C}_{k'}$ .

For example the idèle class groups  $C_k$  are isomorphic to each other for  $k = \mathbf{Q}(\sqrt{-2})$ ,  $\mathbf{Q}(\sqrt{-5})$ ,  $\mathbf{Q}(\sqrt{-23})$ ,  $\mathbf{Q}(\sqrt{-47})$ , and  $\mathbf{Q}(\sqrt{-71})$ , but their idéal class numbers are 1, 2, 3, 5 and 7, respectively (cf. [1]).

**THEOREM 2.** Let  $\mathbf{Q}$  be the rational number field,  $C_{\mathbf{Q}}$  the idèle class group of  $\mathbf{Q}$ . Then

$$C_{\mathbf{Q}} \cong \mathbf{R} \times \text{Gal}(A_{\mathbf{Q}}/\mathbf{Q}).$$

**PROOF.** The proof is similar to Theorem 1.

Added in proof; Prof. Iwasawa has kindly written to me and remarked that theorem 1 can also be shown by considering the structure of  $D_k$ .

### References

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