

On a Unit-Boundary of a Function Algebra II

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§1. Introduction

In this paper we shall mention some properties of a unit-boundary of a function algebra, continued from the paper "On a unit-boundary of a function algebra". [2]*

Our main result is the necessary & sufficient condition, called condition (*) or condition (**), for a unit-boundary to be identical with the Silov boundary.

Let X be a compact Hausdorff space and $C(X)$ and Banach algebra of all complex valued continuous functions on X with sup-norm.

We shall consider a function algebra A on X , that is, A is a closed subalgebra, of $C(X)$, which separates points of X and contains the constant functions.

Throughout this paper, let $M(A)$ be the maximal ideal space, $\Gamma(A)$ the Silov boundary and $\text{Cho}(A)$ the Choquet boundary, of A , respectively. Further, let, for any set S , $f(S)$ be the set $\{f(x) : x \in S\}$.

§2. On the condition (*) & the condition (**)

DEFINITION. A closed subset F of $M(A)$ is called a unit-boundary of A iff F satisfies the following condition;

For any function f in A which does not vanish on F , there is a function g in A with $f \cdot g = 1$.

The above definition is written equivalently as follows;

A unit-boundary F is a closed subset of $M(A)$ with $f(F) = f(M(A))$.

We know that every unit-boundary contains the Silov boundary. (See the theorem in [2]).

Now we shall search for conditions on which a unit-boundary is identical with the Silov boundary $\Gamma(A)$.

Let us first remark that under any one of the following equivalent

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* The number in brackets refers to the paper in the reference.

conditions (a), (b) and (c) a unit-boundary F is a minimal one.

(a) For any closed proper subset K in F there exists a function f in A and a point x in $F-K$ such that $f(x)=0$, $f(y)\neq 0$ for all y in K .

(b) For any point x in F and any open nbhd U there exists a function f in A such that $f(U)\ni 0$, $f(F-U)\not\ni 0$.

(c) For any closed proper subset K in F there exists a function f in A such that $f(K)\neq f(M(A))$.

In general a minimal unit-boundary is not identical with the Silov boundary. To construct an example for this we make use of the example 1 in [2]. Let X be the set $\{(z, w) : |z|\leq 1, |w|\leq 1; |z|-|w|\leq \frac{1}{3}\}$ and A the set $\{f\in C(X) : f \text{ is holomorphic in the interior of } X \text{ and continuous on } X\}$. It is well known that every function in A can be extended holomorphically to the set $X=\{(z, w) : |z|\leq 1, |w|\leq 1\}$, and the Silov boundary is the set $\{(z, w) : |z|=1 \text{ and } |w|=1\}$, one of the minimal unit-boundary is the set $\{(z, w) : |z|\leq 1, |w|\leq 1, |z|=|w|\}$. And this is not identical with the Silov boundary.

Naturally we would like to strengthen the above condition so as to obtain the one under which a minimal unit-boundary is identical with the Silov boundary.

Now we shall consider a unit-boundary F with the condition (*) in the following definition.

DEFINITION. A function algebra A satisfies the condition (*) on a closed subset S in $M(A)$ iff for any proper closed subset K in S there is a function f in A and a point x in $S-K$ such that $f(x)=0$ and $(\operatorname{Re} f)(x)>0$ (or <0) for all y in K .

(a function $\operatorname{Re} f$ is a real part of f)

The condition (*) may be also stated as follows ;

A function algebra A satisfies the condition (**) on a closed subset S in $M(A)$ iff for any closed proper subset K in S and any positive number ε there is a function h in A and a point x in $S-K$ such that $h(x)=1$ and $|h(y)|<\varepsilon$ on K .

It is easy to see that the condition (*) is stronger than the condition (a). Hence if A satisfies the condition (*) on a unit-boundary F , then F is a minimal unit-boundary.

LEMMA. For a closed subset S in $M(A)$, the condition (*) on S is equivalent to the condition (**) on S .

PROOF. At first we shall show that $(*)\Rightarrow(**)$.

For a closed subset K in S and a positive number ε , by (*) there is a function f in A and a point x in $S-K$ such that $f(x)=0$, $(\operatorname{Re} f)(y)>0$

on K . By the continuity of the function $\operatorname{Re} f$, we can assume without loss of generality that, for a suitable positive number δ , the following estimate holds: $\delta < (\operatorname{Re} f)(y) < 1$ on K and $f(x) = 0$.

Let g be $e^{-f} \equiv \sum_{n=1}^{\infty} \frac{1}{n!} (-f)^n$.

Then g is in A by the closedness of A under uniform norm. The function g has the following property: $g(x) = 1$ and $|g(y)| < e^{-\delta} < 1$.

Hence for any ε , there exists a natural number n such that $|g^n(y)| < \varepsilon$ on K . Let h be the function g^n . Then h is also a function in A which satisfies the condition (**).

Now we shall show that (**) \Rightarrow (*).

For the function h in (**), let us put $f = 1 - h$. Then f is in A and satisfies the condition (*).

PROPOSITION. *Let F be a unit-boundary of A . The necessary and sufficient condition for F to be identical with the Silov boundary of A is that A satisfies the condition (*) on F .*

PROOF. We show first that $F = \Gamma(A)$ under the assumption that A satisfies the condition (*).

By the theorem in [2], every unit-boundary contains the Silov boundary. If F contained $\Gamma(A)$ properly, then there would be a point x in $F - \Gamma(A)$. By the normality of $M(A)$, there is an open nbhd U of x such that $U \cap \Gamma(A) = \emptyset$. By the above lemma “(*) \Leftrightarrow (**),” for the closed set $F - U$ and any positive number ε , there is a function h in A and a point x' in U such that $h(x') = 1$ and $|h(y)| < \varepsilon$ on $F - U$. The set $F - U$ contains $\Gamma(A)$ by the choice of U . Then the existence of the function h contradicts the definition of the Silov boundary.

Hence a unit-boundary F is identical with $\Gamma(A)$.

Now we shall show that A satisfies the condition (*) (or (**)) on $\Gamma(A)$. Let K be a closed subset in $\Gamma(A)$, x a point of $\Gamma(A) - K$ and U an open nbhd of x in $\Gamma(A)$ such that $U \cap K = \emptyset$. Since the point x is in $\Gamma(A)$, there are a point x' in $U \cap \operatorname{Cho}(A)$ and a nbhd V of x' in $\Gamma(A)$ with $V \subset U$. By E. Bishop & K. de Leeuw [1], for a point x' in $\operatorname{Cho}(A)$ and any positive number ε , there is a function f in A such that $\|f\| \leq 1$, $|f(x'')| = 1$ at some x'' in V and $|f(y)| < \varepsilon$ on $\Gamma(A) - V$. Now since the function f satisfies the condition (**), also the condition (*) on $\Gamma(A)$.

COROLLARY. *Let R be any representing space of a function algebra A on X . Then the necessary and sufficient condition for R to contain properly the Silov boundary $\Gamma(A)$ is that for some closed proper subset K of R and for every function in A it holds that*

$$f(R) \subset \text{the convex closure of } f(K).$$

PROOF. If $R = \Gamma(A)$, then R satisfies the condition (*) on $\Gamma(A)$. Hence for any closed set K of R , there is a function f in A such that $f(x) = 0$ for some point x in $R - K$ and $(\operatorname{Re} f)(y) > 0$ on K .

Then the convex closure of $f(K)$ does not contain the origin by the continuity of $\operatorname{Re} f$.

Therefore $f(R)$ is not contained by the convex closure of $f(K)$. Now we shall show the converse.

We assume that R contains $\Gamma(A)$ properly and further that the condition did not hold i.e. for any closed set K , there would be a function f in A such that $f(R)$ is not contained by the convex closure of $f(K)$.

Let K be the Silov boundary $\Gamma(A)$. Then the set $f(R)$ — the convex closure of $f(\Gamma(A))$ is not empty, which shows that there is a complex number λ in the set.

For λ , there is a point x in $R - \Gamma(A)$ such that $f(x) = \lambda$. In the complex plane there is a straight line which separates λ and the convex closure of $f(\Gamma(A))$. Therefore for some real number θ and the function $g = e^{i\theta}(f - \lambda)$ in A the following estimate holds: $g(x) = 0$ and $(\operatorname{Re} g)(y) > 0$ on $\Gamma(A)$.

Then as in the lemma, there is a function h in A such that $h(x) = 1$ and $|h(y)| < \varepsilon$ on $\Gamma(A)$, which contradicts the definition of the Silov boundary.

References

- [1] E. Bishop & K. De Leeuw: The representation of linear functionals by measures on sets of extreme points, Ann. Inst. Fourier (Grenoble) 9 (1959), 305-331.
- [2] K. Nishizawa: On a unit-boundary of a function algebra, Natural Science Report, Ochanomizu Univ. 22 (1971).